LJGS: Gradual Security Types for Object-Oriented Languages

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\textbf{Abstract}

LJGS is a lightweight Java core calculus with a gradual security type system. The calculus guarantees secure information flow for sequential, class-based, typed object-oriented programming with mutable objects and virtual method calls. An LJGS program is composed of fragments that are checked either statically or dynamically. Statically checked fragments adhere to a security type system so that they incur no run-time penalty whereas dynamically checked fragments rely on run-time security labels. The programmer marks the boundaries between static and dynamic checking with casts so that it is always clear whether a program fragment requires run-time checks. LJGS requires security annotations on fields and methods. A field annotation either specifies a fixed static security level or it prescribes dynamic checking. A method annotation specifies a constrained polymorphic security signature. The types of local variables in method bodies are analyzed flow-sensitively and require no annotation. The dynamic checking of fields relies on a static points-to analysis to approximate implicit flows. We prove type soundness and non-interference for LJGS.

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\textbf{Technical Report}

This is the technical report for our ECOOP2016 paper. It includes the text of the original paper followed by appendices. The appendices give the complete rules for LJGS’ semantics and type system as well some details that were excluded from the original paper for lack of space.
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**Introduction**

Information-flow control (IFC) is a cornerstone of language-based security. A typical IFC policy rules out the flow of information from classified sources to public sinks. The technical property aimed for is noninterference: changing a classified source does not influence the public sinks. Noninterference comes in different flavors depending on the observational capabilities of an attacker (e.g., termination sensitive or not, batch or interactive).

There are also different kinds of IFC. A static system performs a static analysis, for instance using a security type system, and guarantees noninterference for analyzed programs. A dynamic system attaches run-time security labels to values, propagates them along with the values, and checks them at appropriate points during program execution. Hybrid systems employ additional static analysis to improve precision in the detection of implicit flows [23].

The choice between a static and a dynamic system is a difficult trade-off in the development of secure software. For new software components that are designed with security in mind and for components that have high reliability requirements a static analysis is a good fit: It does not impose any run-time overhead and provides hard static guarantees whereas a dynamic system needs to manage security labels at run-time and often aborts a running program when a security problem is detected.

For the integration of legacy components as well as for prototyping, static IFC can impede programming productivity significantly: Simple analyses yield many false positives and thus may reject many programs that are in fact secure. Precise analyses often require extensive manual annotation of the source code. They rely on complex abstract domains that may be computationally expensive or difficult to understand by non-experts. In contrast, dynamic approaches rely on an instrumented run-time system. Thus, after specifying a security policy, a programmer can debug policy breaches by testing and inspecting concrete program runs.

**Contributions**

To make the benefits of both approaches available to mainstream object-oriented programming, we propose a type-based amalgamation of static and dynamic IFC for Java. Inspired by work on gradual typing [26, 14], our system enables programmers to rely on the guarantees and efficiency of a lightweight security type system and to back off to dynamic checking when necessary. Gradual typing enables the composition of programs from typed and untyped fragments using suitable type casts at the interfaces. It guarantees full compliance with the type system in the typed fragments, whereas untyped fragments may raise run-time type errors. **Gradual security typing** transposes this approach to an information flow setting.

Lightweight Java with Gradual Security (LJGS) is an object-oriented core calculus that implements our ideas. LJGS is a subset of Java without threads, exceptions, or reflection. It is inspired by Lightweight Java (LJ) [29]. Our specification of LJGS is type-based: it takes the form of a type system and it assumes that the underlying program is well-typed. Thus, LJGS is independent of specific Java typing features like generics. The LJGS types in this paper amount to type annotations in an actual Java program.

Following Denning [10], LJGS specifies permissible information flows using a lattice \((\text{Sec}, \sqsubseteq)\) of security levels. For each field of a class, the programmer specifies a fixed security level or marks the field as dynamically checked. For each method, the programmer supplies a constrained polymorphic security type signature (see examples in Section 2). The signature relates the security types of the arguments, the result, and the effect on global variables with each other and with fixed levels that arise from field accesses. The security types of local variables need not be declared. Moreover, the types of local variables are flow sensitive, that
is they can change during the method; object field types are \textit{flow insensitive} to keep static checking light-weight. In contrast to other work on security type signatures for Java \cite{30} our type checking algorithm works directly on the constraints.

The LJGS type system is designed so that the security level of a value with a static security type does not have to be tracked at run-time. Each value with a dynamic security type, in a dynamic field or in a temporarily dynamic local variable, carries a run-time security label that overapproximates its true security level. Implicit flows are detected with an approach inspired by hybrid monitors \cite{23}: at the join points in the control-flow graph, security labels of variables and fields are upgraded preemptively if they could be updated in the untaken branches. A points-to analysis assists in determining the heap references to fields that require upgrading. Purely dynamic approaches like the no-sensitive-upgrade (NSU) policy \cite{3, 36} may be used as well, but with more conservative results.\footnote{We used NSU in a previous version of this paper: \url{http://proglang.informatik.uni-freiburg.de/projects/gradual/ljgs/fcs2015.pdf}}

No existing system provides a similarly powerful combination of static and dynamic IFC for a Java-like language. In particular, prior work \cite{12, 13} illustrates the principles of gradual security typing with toy languages. While LJGS is the result of extrapolating similar principles to an object-oriented setting, extensions required in practice, like polymorphic signatures and avoiding run-time checks for static code, yield a type- and run-time system that differs significantly from prior designs. The differences are further elaborated in Section 9.

\textbf{Technical results}: Besides proving type preservation and progress (up to breaches of the security policy in dynamic updates), our key result is \textit{batch termination insensitive non-interference} (BTINI), as defined by Askarov et al \cite{2}. We assume that an attacker can only access the public part of the heap, may construct the public arguments of any LJGS method, run it, and inspect only the public part of the result and the heap afterwards. If the method diverges or aborts, the attacker receives no information. There is no way to obtain intermediate results.

\textbf{Scope of the LJGS calculus}

LJGS supports the features of class-based, typed object-oriented languages that pose fundamental challenges for information flow control: mutually recursive methods and classes, mutable local variables, mutable objects, and virtual method calls. In the following, we summarize these challenges and then discuss features omitted from LJGS, namely exceptions, and Java-style downcasts and type-tests. Furthermore, Section 7 discusses how a practical language based on LJGS could deal with reflective and otherwise hard-to-analyze code.

\textbf{Mutually recursive classes and methods} complicate global, inter-procedural inference of types and information flow. LJGS supports local type inference, only. It avoids the need for global inference with annotations on methods and classes. The issue of \textbf{mutable local variables} arises already in simple imperative languages \cite{24}, but it is not addressed by previous work on gradual security \cite{12, 13}. For LJGS, we adapt best practice in maintaining a \textit{program counter type} to track implicit flows and in handling variables flow-sensitively \cite{17, 23} to improve precision.

\textbf{Mutable objects} may lead to \textit{global} implicit flows, as the following example shows:

\begin{verbatim}
class C { int F; void setF() { this.F = 1; };
 C c = new C(); C d = c;
 if (secret == 42) {d.setF();}
 printPublic(c.F); // <- information leak
\end{verbatim}
Here, secret has a high-security type and printPublic is a low-security sink. The field F of the object c is updated under a high-security program counter by calling method setF() on d which is an alias of c. Afterwards, c.F is publicly exposed by printPublic(). However, there is no local indication for the leak: the program does not directly change c.F. The reason for the leak is that c, d, and this in the method call to setF are aliases for the same object during the execution of the program. Sound information flow control has to prevent low-security access to the field c.F after the update, even if the update is performed on a different alias or in a different method.

In LJGS and other security-typed languages [21, 22] this requirement is enforced by fixed, flow-insensitive security types for fields and by write-effect annotations on methods that indicate the types of fields that a method updates. For static, high-security program counters, low-security or dynamic effects are forbidden. Dynamic program counters admit only dynamic effects and dynamic fields updates. LJGS treats mutable objects differently than previous work[13], where run-time labels are required even for statically typed object references.

Virtual method calls cause conditional control flow and thus may create implicit information flows: the run-time type of the receiver object selects the implementation of a method to execute. While dynamic enforcement of information flow copes naturally with dynamic dispatch, statically checking a virtual method requires a sound, static approximation of the security properties of all possible implementations that might be executed. LJGS supports dynamic dispatch for Java-like class derivation and method overriding. To soundly type-check method calls, the type system imposes a restriction on signatures of overriding methods (cf. Definition 5, Section 4.4).

Among the features that LJGS omits, support for (catchable) exceptions is the most challenging for information flow control: throwing an exception introduces non-local implicit information flows from the program counter of the throw-site to any exception handler in the call stack. Practical languages with type-based support for information flow control like JIF [21] and FlowCaML [22] solve this problem with additional effect annotations on methods that track the program counter type at the throw-site. This exception effect is an appropriate lower bound for the program counter type of exception handlers. To simplify the presentation in this paper, we do not consider catchable exceptions, but we expect the extension of LJGS’s type system with exception effects to be unsurprising, as the typing constraints of LJGS are similar to FlowCaML’s constrained type schemes.

Banerjee and Naumann identify (down-)casting of classes and type test as potential sources of information flow [6]. A conditional based on a type test creates an information flow from the tested object to the program counter of the branches. For example, the body of the condition in line 5 executes with a high-security program counter, as the run-time class of c depends on the value of the high-security variable secret (line 4).

```java
1  class C { }
2  class D extends C { }
3  C c;
4  if (secret == 42) { c = new D(); } else { c = new C(); }
5  if (c instanceof D) {
6      ...
7      // <-- high-security program counter
8  }
```

LJGS does not support type tests directly, but as the objects involved in branch conditions are already tracked, the feature would be straightforward to add as a primitive operation.

---

2 It is unproblematic to extend LJGS with uncatchable exceptions, as abrupt program termination does not violate BTINI.
int max(int x, int y) where { x \leq ret, y \leq ret } {
    if (x \leq y) { x = y; } return x;
}
class Logger { String[LOW] buf; String[HIGH] hbuf; String[*] dbuf; }

int maxMsg(Logger log, int x, int y) where { x \leq ret, y \leq ret, log \leq LOW } and { LOW } {
    if (x \leq y) { x = y; }
    log.buf = "max was called";
    return x;
}

Figure 1 Examples of method definitions and polymorphic signatures

Downcasts may result in additional exceptional flows when a cast error is recoverable. As we currently do not consider exceptions for LJGS, we also omit downcasts for simplicity.

2 A Taste of LJGS

This section demonstrates the salient features of LJGS. The security lattice used in the examples has at least two points, LOW and HIGH, where LOW \(\subseteq\) HIGH and HIGH \(\not\subseteq\) LOW.

Figure 1 illustrates how to specify information flow policies via security signatures. The method max calculates the maximum of its parameters x and y. Clearly, the method’s result depends on both parameters. To express this dependency, method max carries polymorphic constraints in the where clause of its signature. It indicates that information may flow from x and y to the result by asserting that the parameters’ security types are lower bounds for the type of the return value. The variables x, y, and ret stand for the security type of the parameters x, y, and the return value. As the variables are not bounded by concrete security levels, the signature is polymorphic and max may be called under any program counter type with arguments that satisfy the constraints. It may also be called with two dynamic arguments.

Line 5 of Figure 1 defines a class Logger with three fields: buf has with static security type LOW, hbuf with static security type HIGH, and dbuf with dynamic type *. The method maxMsg is a version of max with global side effects; it writes to the low-security field of a Logger object. The signature of the method indicates this global effect to a LOW field in the and part of the where clause. LJGS requires that the program counter type at all call sites is smaller than the declared effect {LOW}, which guarantees that maxMsg is only called under any program counter type with arguments that satisfy the constraints. It may also be called with two dynamic arguments.

Calling maxMsg with mixed static and dynamic arguments, as shown in line 7, is not
Logger log = new Logger(); int x = (⋆ ⇛ HIGH) secret; int y = (⋆ ⇛ LOW) 42;  
int r;

// type error: uncontrolled mix of dynamic and static arguments
// r = this.maxMsg(log, secret, y);

if (x == 42) {
  // type error: program counter is statically unknown
  // r = this.maxMsg(log, x, y);
}

Figure 2 Calling polymorphic methods

int maxMsgDyn(Logger log, int x, int y) {
  where { x ≤ ret, y ≤ ret, log ≤ * } and { * } {
    if (x ≤ y) { x = y; }
    logdbuf = "max was called";
    return x; 
  }
  logdbuf = "public result:" + (⋆ ⇚ LOW) l;
  if (privMode) { logdbuf + "secret result:" + (⋆ ⇛ HIGH) h; }
  /* ... */
  if (!privMode) { logdbuf = (LOW ⋆) logdbuf; }
}

Figure 3 Examples of methods using dynamic IFC

allowed in this example: The dynamics of LJGS (cf. Sections 5 and 6) do not represent static security information at run-time. Thus, information flow between static and dynamic types cannot be controlled only by inspecting run-time security labels and the type system forbids flows from static to dynamic entities except when they are explicitly controlled by casts. Uncontrolled flows from dynamic to static entities are also forbidden, as dynamic labels are not available at type-checking time. To enforce both restrictions, constraints of the form $x \leq y$ are not satisfiable if $x$ is static and $y$ is dynamic, or vice-versa. Thus the call in Line 7 violates the signature of maxMsg which relates both arguments with $\text{ret}$.

LJGS imposes similar restrictions for implicit flows. For example, the call to maxMsg in line 11 would be rejected. Testing the dynamic variable creates a dynamic program counter that cannot be checked against the static effect of maxMsg’s signature.

Figure 3 illustrates the distinguishing feature of LJGS: the integration of dynamic and static enforcement of security policies. The method maxMsgDyn is a less restrictive version of maxMsg that relies on dynamic IFC. As before, its signature states that $x$ and $y$ flow into the return value. But instead of a LOW effect, it asserts a dynamic effect and requires that the log object admits dynamic access (i.e., is smaller that ⋆). While maxMsg writes to a low-security field and is thus incompatible with high-security program counters, maxMsgDyn uses the dynamic field ddbuf. Dynamic fields are checked flow-sensitively at run-time, so that LJGS permits calls to maxMsgDyn in low- and high-security program counters (lines 16 to 18 in Figure 3). After line 17, logdbuf contains a dynamic low-security string. The statement starting with “(HIGH ⇒ ⋆)” in line 18 is a context cast which instructs the run-time system to propagate a high-security program counter label for the statements contained in the cast.
As a result, the dynamic label of `log.dbuf` can be updated to a high-security label after the call in line 18 to reflect the implicit flow from the program counter into `log.dbuf`.

The method `logResults` (also in Figure 3) shows how to adapt information flow behavior dynamically using a boolean flag. It takes as arguments a `log` object, a boolean flag `privMode` and two integers `h` and `l`. The method’s purpose is to write the values of `l` and `h` to various buffers in the `log` object, depending on the flag `privMode` ("private mode"). The signature states that `h` is HIGH and `l` is LOW. It also states that the method has both, an effect of type dynamic (⋆) and static-low (LOW). Similarly it requires `log` to admit accesses to fields of type ★ and LOW, and that `privMode` can flow into fields of type ★ and LOW. The reason for these constraints become clear when inspecting the method’s body: in line 11 there is a dynamic write of a dynamic high-security value to `dbuf` in the context of `privMode` and `log` and in line 13 there is a static write to `buf` using the value of `dbuf` cast to LOW in the context of `privMode` and `log`. Despite the copy of the potentially high-security content of `dbuf` to `buf` in line 13, the method is actually secure: the flag `privMode` guards the field updates such that a high-security content of `dbuf` is never written to `buf`. If the author of `logResults` would have failed to guard the field updates correctly, for example by accidentally omitting the negation of `privMode` in line 13, the value cast from ★ to LOW would have aborted the program by signalling a dynamic security violation. Using boolean flags in such a way is only possible in the dynamic fragment of LJGS; if `log.dbuf` were static, the type system would require it to have a high-security type and unconditionally forbid the copy to `log.buf`.

It remains to explain how the constraints for `privMode` and `log` can be possibly satisfied, given the premise that a value cannot be implicitly converted between static and dynamic types. LJGS includes a special bottom type, called the public type (•), for this purpose. The type systems ensures that such a public value carries no confidential information so that it can be used in dynamic code without carrying a run-time label.

Figure 4 shows a final example that illustrates the interaction of LJGS’ gradual security typing with object-oriented code. The interface `Modifier` specifies a method `modify` with a signature that asserts no global side effects and where the input flows into the output. The method `maxMod` calculates the maximum of its arguments `x` and `y` after modifying `y` using the `Modifier` object `ymod`. Its signature contains the same constraints as `max` in Figure 1 and adds the additional requirement that `ymod` is less confidential than the result.

The following code snippet implements the polymorphic `max` method with `maxMod`:

```java
class Id extends Modifier {
    int modify(int x) where { x ≤ ret } {
        return x;
    }
}

// re-implementation of max
int max2(int x, int y) where {x ≤ ret, y ≤ ret} {
    return maxMod(x, y, new Id());
}
```

Class `Id` implements an identity modification. Its `modify` method inherits the constraints of the `Modifier` interface. In LJGS, freshly create objects are always public. By passing

---

3 A concrete security type as lower bound to a parameter is never required in LJGS. Here it is specified to make `h` a high-security value for the sake of the example.
prog ::= cld₁ .. cldₙ s

cld ::= class C extends cl { F₁[a₁] .. Fₙ[aₙ] md₁ .. mdₘ }

cl ::= C | Object

a ::= • | A | ⋆

md ::= M(var₁, .., varₙ) where S and G { s return y }

x, y ::= var | this

e ::= x | x.F | N | x + y | new C(x₁, .., xₙ) | (a ⇙ a’).x

s ::= var = e | x.F = y | var = x.M(y₁, .., yₙ) | s; s

| if [sl, L, G](x == y){ s } | while [sl, L, G](x == y){ s } | (a ⇒ a’){ s }

sl ::= unique identifiers for branching statements

---

**Figure 5** Syntax of LJGS

A new `Id` instance to `maxMod`, `max2`’s signature is as flexible as that of `max`: the constraint `ymod ≤ ret` of `maxMod` is trivially satisfied and can be omitted from the signature of `max2`.

Semantically, the result of `max2`, or of `max` for that matter, always has a security level that is the least upper bound of `x` and `y`. Thus, calling `max2` with statically or dynamically typed arguments makes little difference. In the following call to `maxMod`, however, a static call is more conservative than a dynamic one.

```java
class Erase extends Modifier { int[*] def; int modify(int y) { return def; } }
/*@ ... */ z = maxMod(42, y, new Erase(0));/*@ ... */
```

Here we use an `Erase` modifier that replaces the value of `y` value with a public constant. The signatures of `maxMod` and `Erase.modify` still assert a dependency on the argument `y` but dynamically the connection is cut by the particular implementation of `Erase.modify`. Thus, the following call succeeds without security problems when `printPublic` is a low-security sink and `secret` a static high-security variable.

```java
y = (⋆ ⇙ HIGH) secret; z = maxMod(42, y, new Erase(0)); printPublic((LOW ⇙ ⋆)z);
```

A corresponding static call without the typecasts would be rejected by the type checker: `maxMod`’s signature would type `z` as `HIGH`, even though no information flows from `y` to `z`.

### 3 Syntax of LJGS Programs

LJGS extends Lightweight Java with annotations for security types and casts.

To better focus on the security aspects and to avoid notational clutter, we omit the Java types and signatures for fields, local variables, and methods; we only write the annotations relevant for security typing.4 Nevertheless, we assume that all programs are well-typed Java programs where types, signatures, and declarations of local variables are erased.

An LJGS program (see Figure 5) consists of a set of class definitions, `cld`, followed by a statement `s`, the entry point to the program. Class definitions consist of field declarations, `Fᵢ[aᵢ]`, and method declarations, `mdᵢ`. Classes form a hierarchy with `Object` at its root. For simplicity, all method and field names are unique and we assume that the relationship of method overrides is externally defined.

A field declaration relates a field name with a security type `a`. A security type is either the `public type` `•`, a static security level `A`, or the `dynamic type` `⋆`.

---

4 In an implementation, we have to write Java types and signatures as well as security annotations.
A method definition declares the method name, \( M \), a list of parameters, a set of method constraints \( S \), the global effect \( G \), a statement \( s \) that serves as method body, and a single local variable \( y \) for the return value. We write \( \text{params} (M) \), \( \text{constraints} (M) \), and \( \text{effects} (M) \) to refer to the parameters, method constraints and effects, respectively. Method constraints and effects are discussed in detail in Sections 4.1 and 4.3. Further local variables are implicitly defined by their first assignment.

A variable \( x \) is either user-defined, \( \text{var} \), or references the receiver of the method call, \( \text{this} \).

An expression \( e \) can be a variable access, \( x \), field access \( x.F \), an integer constant, \( N \), integer addition, \( x + y \), object instantiation, \( \text{new} C(x_1, \ldots, x_n) \), or a value cast. A cast expression \( (a ⇝ a')x \) converts the value of \( x \) from source type \( a' \) to destination type \( a \). We omit a null value for simplicity. It would be straightforward to add, however, as null behaves like an integer constant with respect to information flow.

A local update, \( \text{var} = e \) assigns the value of an expression \( e \) to a variable. A field update \( x.F = y \) writes the value of \( y \) to field \( F \) of the object referenced by \( x \). A method call \( \text{var} = x.M(y_1, \ldots, y_n) \) stores the result of calling method \( M \) with arguments \( y_1, \ldots, y_n \) in variable \( \text{var} \). The if and while statements are standard except for three annotations, a source location \( s_l \) and effects \( L \) and \( G \). The role of the effects is further explained in Sections 4.3 and 5.4. The external write effect analysis that backs the dynamic IFC uses source locations to identify branching statements. The role of the effect analysis is further explained in Section 5.3. The examples of Section 2 do not show locations or effects on if statements as they can be inferred. Finally, the context cast statement \( (a \Rightarrow a')\{s\} \) embeds a computation with inner program counter type \( a' \) into a context with outer program counter type \( a \).

4 Security Constraints and Typing Rules

In LJGS, a programmer specifies information-flow properties by providing suitable method signatures. As illustrated in Section 2, a signature relates parameters, return values, and side effects of methods with security types. The type system ensures that the signature specifications are adequate and that operations depending on statically typed parameters and fields have no security leaks. Its design also ensures that the security levels of statically typed values need not be tracked at run-time.

Security types form a lower semi-lattice induced by the following ordering.

\[ \bullet \leq a \quad A \leq A' \text{ if } A \subseteq A' \quad \star \leq \star \]

By embedding the lattice of security levels into security types, LJGS supports the usual notion of security subtyping: values with a low security level are implicitly promoted to a higher one and contexts with a low-security program counter type admit computations that perform side effects on a higher security level. To ensure a clean boundary between static and dynamic code, implicit conversion between static types and the dynamic type is not allowed; that is, the supremum of \( A \) and \( \star \) is not defined. However, the public security type \( \bullet \) may act polymorphically as the dynamic type and the static type at the bottom of the security lattice (\( \bot \)).

4.1 Method Constraints

The constraints in a method signature represent the information flow dependencies between parameters and return values: flows into the return value are represented by lower bounds
Figure 6 Components of method constraints and typing constraints

on a return symbol, whereas flow restrictions on the arguments are represented by upper bounds on parameter symbols. Figure 6 defines the syntax for method constraints $S$. A constraint relates its method constraint types, $st_1$ and $st_2$, either by subsumption, $st_1 \leq st_2$, or by compatibility, $st_1 \sim st_2$. Method constraint types $st$ are literal security types $a$ or symbols for a method's formal parameters $x$ (including this) and return value, $ret$. For simplicity, we require that all method constraints mention exactly the parameters of their respective methods, as well as $ret$ and this.

The constraint $st_1 \leq st_2$ ("$st_2$ subsumes $st_1$") specifies that $st_1$ and $st_2$ should be ordered according to Definition 1. For example, the constraint $HIGH \leq ret$ requires the return value of a method to be a statically known security level that is at least $HIGH$. The constraint $st_1 \sim st_2$ ("$st_1$ is compatible with $st_2$") specifies that if $st_1$ is dynamic then $st_2$ should be dynamic or public and vice versa. If both components are static, then they are compatible.

Compatibility constraints appear rarely in signatures, but here is an example. Consider a method, $const42$, that contains the same statements as $max$, but subsequently cuts the flow from parameters to the result by overwriting the $x$ with a constant.

```plaintext
int const42 (int x, int y) where { x ~ y } {
  if (x \leq y) {x = y;}
  x = 42; return x;
}
```

Although $const42$ ignores the parameters and does not constrain $ret$, the parameters $x$ and $y$ cannot have arbitrary types: If $const42$ is called with a dynamic first argument, the second argument needs to be dynamic or public to enable the run-time system to track implicit flows arising from the conditional. The compatibility constraint $x \sim y$ expresses this requirement.

### 4.2 Typing Constraints and Constraint Interpretation

To type-check a method's body against its signature, LJGS' typing rules (cf. Section 4.4) generate sets of typing constraints, $C$. Typing constraints $c$ (see Figure 6) are like method constraints but relate statement constraint types, $sec$, which extend method constraint types with local type variables $\alpha$. Local type variables represent the flow-sensitive security types for the local variables before and after the statements of a method body. A method body complies to a signature if the generated typing constraints refine the information flow between parameters, fields and return value that the signature represents.

We now formalize the intuitive notion of constraints that we used in the examples in Section 2 and Section 4.1. In the following, we let $C$ range over sets of typing constraints, $\alpha, \beta, \gamma$ over local type variables, and we write $\alpha^+$ for a fresh local type variable. We also let $tvar$ range over statement constraint types that are not literal security types (cf Figure 6).

### Definition 2 (Assignment, solution, solvability). An assignment is a total function from type variables to security levels. An assignment $\theta$ is extended to a total function from components to security levels, $\theta^*$, by mapping security levels to themselves:

\[
\theta^*(sec) = a \quad \text{if} \quad sec = a \quad \quad \quad \theta(tvar) \quad \text{if} \quad sec = tvar
\]
An assignment \( \theta \) is a solution of a constraint set \( \mathcal{C} \), written \( \theta \models \mathcal{C} \), if (i) for all constraints \( \text{sec}_1 \leq \text{sec}_2 \in \mathcal{C} \), it holds that \( \theta^*(\text{sec}_1) \leq \theta^*(\text{sec}_2) \), and (ii) for all constraints \( \text{sec}_1 \sim \text{sec}_2 \), it holds that there exists a type \( a \) such that \( \theta^*(\text{sec}_1) \leq a \) and \( \theta^*(\text{sec}_2) \leq a \).

A constraint of the form \( \bullet \leq \text{sec} \) imposes no restriction on solutions. A compatibility constraint that contains a static and a dynamic component, like \( \star \sim \text{LOW} \), is never solvable.

Type checking of LJGS requires that the typing constraints generated for method bodies refine the constraints of the respective signatures. For method- and typing constraints, refinement amounts to checking entailment of constraints modulo local type variables.

**Definition 3 (Refinement of typing constraints).** Let \( \mathcal{C}_1, \mathcal{C}_2 \) be two constraint sets. \( \mathcal{C}_2 \) refines \( \mathcal{C}_1 \), written \( \mathcal{C}_2 :\!\!: \mathcal{C}_1 \), if for each solution \( \theta \models \mathcal{C}_1 \) there exists an assignment \( \theta' \) such that (i) \( \theta' \models \mathcal{C}_2 \), (ii) \( \theta'(x) = \theta(x) \) for all parameters \( x \), and (iii) \( \theta'(\text{ret}) = \theta(\text{ret}) \).

Example: the constraints \( \{ \text{LOW} \leq \text{ret} \} \) and \( \{ \text{LOW} \leq \alpha, \alpha \leq \text{ret}, \text{HIGH} \leq \beta \} \) both refine the (more restrictive) constraint \( \{ \text{HIGH} \leq \text{ret} \} \).

### 4.3 Effects

Typing of LJGS method bodies includes the generation of two kinds of effects, \( \mathcal{L} \) and \( \mathcal{G} \). The local effect \( \mathcal{L} \) is a set of variables. It appears as annotation on branching statements. If a local variable is listed in \( \mathcal{L} \) then the branching statement may modify that variable and thus potentially taint it with information from the branch condition. The run-time system uses \( \mathcal{L} \) to update local variables in untaken branches.

The global effect \( \mathcal{G} \) is a set of security types. It appears on method definitions and branching statements. If a security type is listed in \( \mathcal{G} \), then the method or branching statement may perform a side effect that leaks information into a (globally) accessible field of that type. The type system uses \( \mathcal{G} \) to track implicit flows to the heap.

There is a refinement relation for global effects that amounts to checking subsumption contravariantly on the contained security types.

**Definition 4 (Subsumption of global effects).** \( \mathcal{G}_2 \) refines \( \mathcal{G}_1 \), written \( \mathcal{G}_2 :\!\!: \mathcal{G}_1 \), if for all \( a \in \mathcal{G}_2 \) there exists \( a' \in \mathcal{G}_1 \) such that \( a' \leq a \).

For example, the global effects \( \{ \text{high}, \star \} \) and \( \{ \text{low}, \text{high}, \star \} \) both refine \( \{ \text{low}, \star \} \). In contrast \( \{ \text{high}, \star \} \) does not refine \( \mathcal{G} = \{ \text{low} \} \), as there is no type in \( \mathcal{G} \) that is smaller or equal to \( \star \).

### 4.4 Typing Rules

We are now in a position to define the rules for LJGS. In addition to the typing requirements for Java-like languages, well typed LJGS programs satisfy three conditions: all method constraints are satisfiable, the signatures of overriding methods of every subclass refine the corresponding signatures of its superclass, and, for every method, LJGS’ statement typing rules generate constraints and effects that refine the method’s signature.

The following definition captures the refinement requirement for subclassing:

**Definition 5 (Well-typed class hierarchy).** Let constraints \( (M) \) and effects \( (M) \) be the constraints and the effects that are given by the signature of method \( M \). Method \( M_1 \) refines method \( M_2 \) if constraints \( (M_1) :\!\!: \text{constraints} (M_2) \) and effects \( (M_1) :\!\!: \text{effects} (M_2) \).

The class hierarchy of an LJGS program is well-typed if for every method \( M_1 \) that overrides a method \( M_2 \) method \( M_1 \) also refines method \( M_2 \).
The following judgment summarizes the requirements for method bodies:

\[
\begin{align*}
\Gamma_1 = \{ \text{var}_1 \mapsto \text{var}_1, \ldots, \text{var}_n \mapsto \text{var}_n, \text{this} \mapsto \text{this} \} \\
\gamma \vdash s : \Gamma_1 \Rightarrow \Gamma_2.C, (L, G') \quad \alpha = \Gamma_2(y) \quad C \cup \{ \alpha \leq \text{ret} \} <: S \\
\quad C' \quad \quad G' <: G \\
\vdash M(\text{var}_1, \ldots, \text{var}_n) \quad \text{where} \quad S \quad \text{and} \quad G(s \text{ return } y)
\end{align*}
\]

Its central requirement is the judgment \( \gamma \vdash s : \Gamma_1 \Rightarrow \Gamma_2.C, E \) which generates constraints \( C \) and effects \( E \) for well-typed statements. Effects \( E \) have the form \( (L, G) \). \( \Gamma_1 \) and \( \Gamma_2 \) are typing environments that map local variables to the type variables. The environments connect a local variable with the variable that represents its type before and after a particular statement. Together with \( C \), the initial environment \( \Gamma_1 \) describes the typing constraints for local variables before \( s \) is executed, whereas the final environment \( \Gamma_2 \) describes local variable types after executing \( s \). The type variable \( \gamma \) represents the type of the program counter. The method typing judgment pre-initializes the environment for the parameters and checks if the generated constraints and effects refine the constraints and effects of the signature.

### 4.4.1 Statement Typing: Overview

Section 4.4.2 explains some of the typing rules in detail. A complete listing of rules can be found in a technical report\(^5\). Although the details of the typing rules may seem complex the basic principles behind the constraint generation are rather simple: To track explicit flows in assignment statements, the rules generate constraints where each read variable or field is a lower bound to the variable written. For example, the statement \( x = z.F \).

\[ x = x + y \]

yields constraints that include \( C = \{ z \leq \beta_1, a \leq \beta_1, \beta_1 \leq \beta_2, y \leq \beta_2 \} \).

Here, \( a \) is the type of the field \( F \), \( z \) and \( y \) are parameters and \( \beta_1, \beta_2 \) are the type variables that the environment maps to \( x \) after the first and second assignment, respectively. The typing rules consider implicit flows by checking with the program counter variable \( \gamma \). Each assignment generates an additional constraint where the program counter variable is a lower bound of the updated variable. In the example, \( C \) would be extended with the constraints \( \gamma \leq \beta_1, \gamma \leq \beta_2 \). If, for example, the result of a branch condition flows into the branches of an \textbf{if} statement, a new program counter variable is introduced. Program counter variables establish the implicit flows from local variables and parameters to results and field writes. For example, the statement \( \textbf{if} \ (x = y) \ { z.F = 42; \} \), where \( x, y, z \) are parameters and the type of field \( F \) is \( a \), and the initial program counter type is \( \gamma \), generates the constraints \( C_2 = \{ \gamma \leq \gamma', x \leq \gamma', y \leq \gamma', \gamma' \leq z, \gamma' \leq a \} \). A method constraint like \( \{ x \leq z, y \leq z, z \leq a \} \) that subsumes \( C_2 \), take the flows through \( \gamma' \) into account without mentioning the program counter variables.

Casts hide information flows from the type system. The constraints generated by assignments with value casts do not connect the type of the right-hand-side variable with that of the result. Instead, they connect the right-hand-side type with the source type of the cast and the cast’s destination type with the result. For example, the statement \( x = (\ast \in \text{LOW})y \) has the constraints \( \{ \gamma \leq \alpha_1, y \leq \text{LOW}, \ast \leq \alpha_2 \} \) which do not relate the type of \( y \) with that of \( x \) (\( \alpha_1 \)). Similarly to value casts, context casts break up the connection between program counters and insert their respective source and destination types, instead.

### 4.4.2 Statement Typing: Details

Figure 7 gives the rules for assignments. The rule for local assignment, \textbf{ST-LOCAL}, yields the

\[ \text{http://proglang.informatik.uni-freiburg.de/projects/gradual/ljgs/ecoop2016-tr.pdf} \]
\[ \frac{\Gamma, \alpha \vdash s : \Gamma_1 \Rightarrow \Gamma_2, \mathcal{C}, \mathcal{E}}{\gamma \vdash s : \Gamma_1 \Rightarrow \Gamma_2, \mathcal{C}, \mathcal{E}} \]

**ST-LOCAL**

\[ \frac{\Gamma_1, \alpha \vdash e : \mathcal{C}}{\gamma \vdash \text{var} = e : \Gamma_1 \Rightarrow \Gamma_2, \mathcal{C}, \{\text{var}\}, \emptyset} \]

**ST-PUTFIELD**

\[ \mathcal{C} = \{ \Gamma(x) \leq \text{fsec}(F), \Gamma(y) \leq \text{fsec}(F), \gamma \leq \text{fsec}(F) \} \]

\[ \gamma \vdash x.F = y : \Gamma \Rightarrow \Gamma, \mathcal{C}, (\emptyset, \{\text{fsec}(F)\}) \]

---

**Figure 7** Statement typing: updates

**RT-NEW**

\[ \mathcal{C} = \{ \Gamma_1(x_1) \leq a_1, \ldots, \Gamma_1(x_n) \leq a_n \} \]

\[ \Gamma, \alpha \vdash \text{new } C(x_1, \ldots, x_n) : \mathcal{C} \]

**RT-LOCAL**

\[ \mathcal{C} = \{ \Gamma(x) \leq \alpha \} \]

\[ \Gamma, \alpha \vdash x : \mathcal{C} \]

**RT-CAST**

\[ \mathcal{C} = \{ \Gamma(x) \leq a, a' \leq \alpha \} \]

\[ \Gamma, \alpha \vdash (a' \triangleleft a) x : \mathcal{C} \]

\[ \begin{align*}
\ast \preceq a, & \\
\ast \preceq \ast, & \\
\ast \preceq A, & \\
\bot \preceq \bullet
\end{align*} \]

---

**Figure 8** Expression typing: \( \Gamma, \alpha \vdash e : \mathcal{C} \) and castability: \( a \preceq a' \)

Constraints for a fresh type variable, \( \alpha \), that represents the type of the updated local variable after the assignment. The final type environment is updated accordingly. An expression typing judgment generates the constraints for explicit flows to \( \alpha \) while additional constraint \( \gamma \leq \alpha \) takes care of implicit flows. Writing to variable \( \text{var} \) generates a singleton local effect \( \{\text{var}\} \). No global effects are generated, as local updates are not visible outside of the method.

Rule ST-PUTFIELD types write operations to a field \( F \). It requires that \( F \)'s type, indicated by \( \text{fsec}(F) \), subsumes the type of the source variable, the type of the accessed object reference \( x \), as well as the type of the program counter. The statement’s effect is a global effect with \( F \)'s type. As no local variables are modified, initial and final environments are identical.

Figure 8 gives some of the constraint generation rules for expressions. The constraints for object allocation, rule RT-NEW, state that constructor arguments flow into their corresponding field. The meta-function fieldsecs yields the security types of a class’ fields. The new object reference is considered public on creation. Constants (rule not shown) are also considered public in LJGS and impose no constraints. For variable access, rule RT-LOCAL requires that the result type subsumes the type of the accessed local variable. The rules for addition and field access (not shown) are similar. The rule for casts, RT-CAST, requires that the result type subsumes the destination type of the cast and that the source type subsumes that of the accessed variable. Additionally the source type needs to be castable into the destination type, written \( a \preceq a' \). Castability, defined in Figure 8, rules out casts that are either unnecessary or are guaranteed to fail: casts from \( A \) to \( A' \) trivially succeed when \( A \subseteq A' \) and fail when \( A \nsubseteq A' \). Casts from \( A \) to \( \ast \) also trivially fail when \( A \neq \bot \).

Figure 9 shows rules for statements that create new contexts. Rule ST-CALL in Figure 9 covers method calls. It extracts the formal parameters, the method constraints, and the effects of the callee \( M \) with the operations params \((M)\), constraints \((M)\), and effects \((M)\).
Then, it instantiates the information flows stated in the method constraints for the current
context by simultaneous substitution of parameters with arguments and of \texttt{ret} with the
fresh local type variable $\alpha^\dagger$. Otherwise the rules behave like assignments. The global effect
of the method signature is treated analogously as in rule $\texttt{st-putfield}$. The operation
$\prod_\gamma \mathcal{G} := \{ \gamma \leq a \mid a \in \mathcal{G} \}$ generates the corresponding constraints. The rule for conditionals,
$\texttt{st-if}$, types the branches $s_1$ and $s_2$ under a fresh program counter variable $\beta^\dagger$. Types assigned
to $\beta^\dagger$ need to subsume the old program counter type $\gamma$ and the types of the condition variables
$x$ and $y$ (cf. $C'$). The effects of the conditional is the union of the effects of both branches.
The final environment is a join of the final environments of $s_1$ and $s_2$. The join operation
$\Gamma_2' \sqcup \Gamma_2''$ generates additional constraints to be included in the final constraints $C$.

\begin{figure}[h]
\centering
\begin{align*}
\text{ST-CALL} \quad \mathcal{S} &= \text{constraints}(M) \quad \mathcal{G} = \text{effects}(M) \quad \text{var}_1, \ldots, \text{var}_n = \text{params}(M) \\
C' &= \mathcal{S}[\text{this} \mapsto \Gamma_1(x), \text{var}_1 \mapsto \Gamma_1(x_1), \ldots, \text{var}_n \mapsto \Gamma_1(x_n), \text{ret} \mapsto a^\dagger] \\
\mathcal{C} &= C' \sqcup \{ \Gamma_1(x) \leq \alpha^\dagger, \gamma \leq \alpha^\dagger \} \sqcup \prod_\gamma \mathcal{G} \quad \Gamma_2 = \Gamma_1[\text{var} \mapsto \alpha^\dagger] \\
\gamma \vdash \text{var} = x.M(x_1, \ldots, x_n) : \Gamma_1 \Rightarrow \Gamma_2, \mathcal{C}, \{ \{ \text{var} \}, \mathcal{G} \}
\end{align*}
\end{figure}

\begin{figure}[h]
\centering
\begin{align*}
\text{ST-IF} \quad \beta^\dagger &\vdash s_1 : \Gamma_1 \Rightarrow \Gamma_2', \mathcal{C}_1, (L_1, G_1) \\
\beta^\dagger &\vdash s_2 : \Gamma_1 \Rightarrow \Gamma_2', \mathcal{C}_2, (L_2, G_2) \\
C' &= \{ \gamma \leq \beta^\dagger, \Gamma_1(x) \leq \beta^\dagger, \Gamma_1(y) \leq \beta^\dagger \} \\
\Gamma_2, \mathcal{C}'' &= \Gamma_2' \sqcup \Gamma_2'' \\
\mathcal{C} &= \mathcal{C}_1 \sqcup \mathcal{C}_2 \sqcup \mathcal{C}' \sqcup \mathcal{C}'' \\
\mathcal{L} &= L_1 \sqcup L_2 \\
\mathcal{G} &= G_1 \sqcup G_2 \\
\gamma \vdash \text{if} [s_1; L, G](x == y) \{ s_1 \} \{ s_2 \} : \Gamma_1 \Rightarrow \Gamma_2, \mathcal{C}, (L, G)
\end{align*}
\end{figure}

\begin{figure}[h]
\centering
\begin{align*}
\text{ST-CXCAST} \quad \beta^\dagger &\vdash s : \Gamma_1 \Rightarrow \Gamma_2, \mathcal{C}', (L, G) \\
\mathcal{C} &= \{ a \leq \beta^\dagger, \gamma \leq a' \} \sqcup \mathcal{C}' \\
\gamma \vdash (a' \Rightarrow a) \{ s \} : \Gamma_1 \Rightarrow \Gamma_2, \mathcal{C}, (\mathcal{C}', \{ a' \})
\end{align*}
\end{figure}

\textbf{Definition 6 (Join of typing environments).} An environment $\Gamma$ together with a constraint
set $\mathcal{C}$ form the join of two environments $\Gamma_1$ and $\Gamma_2$, written $\Gamma, \mathcal{C} = \Gamma_1 \sqcup \Gamma_2$, iff

\begin{align*}
\mathcal{C} &= \{ \Gamma_1(x) \leq \alpha_x \mid x \in \text{dom}(\Gamma_1) \} \sqcup \{ \Gamma_2(x) \leq \alpha_x \mid x \in \text{dom}(\Gamma_2) \} \\
\Gamma &= \{ x \mapsto \alpha_x \mid x \in \text{dom}(\Gamma_1) \sqcup \text{dom}(\Gamma_2) \}
\end{align*}

where $\{ \alpha_x \mid x \in \text{dom}(\Gamma_1) \sqcup \text{dom}(\Gamma_2) \}$ is a set of fresh type variables, one for each variable in the
domain of $\Gamma_1$ and $\Gamma_2$.

Rule $\texttt{st-while}$ (not shown) works similarly to rule $\texttt{st-if}$. Rule $\texttt{st-cxcast}$ deals with the
cast between program counters: The program counter type of the cast context needs to
subsume the outer type $a'$ given in the cast. The outer type also defines the global effect
of the statement. The body of the cast is typed under a fresh program counter type variable
$\beta^\dagger$. The constraints require that $\beta^\dagger$ subsumes the inner type $a$. Together with the typing
rules $\texttt{st-putfield}$ and $\texttt{st-call}$, this restriction guarantees that the global effect $\mathcal{G}$ of the
body is subsumed by $\{ a \}$.

The rule for sequences (not shown) is unsurprising: it combines constraints and effects of
its components, as expected.
5 Dynamics

To enforce non-interference in dynamically checked code, LJGS’ dynamics propagate and check run-time security labels. Before explaining the full operational semantics, we first discuss the interpretation of security labels and their operations.

5.1 Security Labels

Security labels (see Figure 10) are the mirror image of security types: a security label is either the static label, $S$, a dynamic label, $D(A)$, carrying a security level or, the public label, $\bullet$. The level of a static label is absent at run-time because it has been checked by the type system already. The dynamic subset of security labels form a lattice based on the attached security levels. The entire set of labels forms a lower semi-lattice with $\bullet$ as bottom element, analogously to the semi-lattice of security types.

During the execution of an LJGS program, every value carries a value label $\varsigma$. To track implicit flows, the semantics maintains two labels for each execution context, a local program counter label, $\ell$, and a global program counter label, $g$. For value labels, this security level indicates the current run-time confidentiality of a labeled value. A program counter label approximates the confidentiality of information that implicitly flows into the current program counter. Thus, the dynamic label on a program counter gives a lower bound on the side effects.

When information flows into a value or program counter from multiple sources, the dynamic semantics employs a partial join operation on the labels involved. The judgment $\varsigma :=  \varsigma_1 \sqcup \varsigma_2$ determines the label $\varsigma$ that joins $\varsigma_1$ and $\varsigma_2$.

$$S := S \sqcup S \quad D(A_1 \sqcup A_2) := D(A_1) \sqcup D(A_2) \quad  \varsigma :=  \bullet \sqcup \varsigma \quad  \varsigma :=  \varsigma \sqcup \bullet$$

Joining static labels is trivial. Dynamic labels are joined by joining the security levels they contain. The public label is a neutral element for the join operation. Joining static and dynamic labels is undefined, as the security level of a static labeled entity cannot be recovered at run-time. A well typed LJGS program only performs well-defined joins.

5.2 Configurations

The execution of a method manipulates configurations $rs/L/\mu$ of run-time statements $rs$, stack frames $L$, and heaps $\mu$. Run-time statements, defined in Figure 10, consist of execution contexts $E$ applied to source statements $s$. For technical reasons, the statements previously defined in Section 3 need to be extended with the marker statement $\text{done}$. An execution context is a hole $\langle \rangle$, a sequence of an execution context and a source statement, a run-time security context $\text{cx} [\mathcal{L}, \mathcal{G}, \mathcal{R}, \ell] \{E\}$, a cast context $\text{cx}[a/\ell/g \Rightarrow a'/\ell'/g'] \{E\}$, or a
calling context \texttt{call}[M, \texttt{var}, x, x_1, \ldots, x_n, L\{E\}]. The run-time statement \texttt{(done)} signals that execution of the current context is complete. A sequence context focuses the first component of a statement sequence. A run-time security context remembers metadata that is needed to track the dynamic implicit flows that arise from branching statements and virtual method calls: the program counter label $\ell$ that is active during its execution, and local and global effects, $L, \mathcal{G}$, that were analyzed for its body. It also remembers a set of field references $\mathcal{R}$ which we explain in Sections 5.3 and 5.4. A cast context stores the old and new program counter types and labels during the execution of a block subject to a context cast. A calling context stores the method name and stack frame of the callee and the return variable of the caller during a method call. It also contains the method call receiver $x$ and the call arguments $x_1, \ldots, x_n$ for technical reasons.

A stack frame is a finite map from local variables to values. Stack frames have a fixed size and bind exactly the local variables that occur in the body of their method. Uninitialized variables are bound to null.

A value consists of a raw value $\texttt{rv}$ and value label $\varsigma$. A raw value is either a number $N \in \mathbb{N}$ or an object reference, $\texttt{oref}$. A heap is a finite map from references to objects. An object consists of its run-time class $C$ and the values of its fields.

5.3 Effect Analysis Support for Dynamic IFC

Information flow control in the dynamic fragment of LJGS copes with implicit flows by relying on statically analyzed information about write effects in untaken control flow branches.\footnote{IFC techniques where the label propagation relies on static analysis are typically called hybrid IFC. Given our setting, we still refer to these approaches as dynamic IFC because they are based on run-time propagation of security labels and because LJGS employs such an approach in the dynamically typed fragments of programs.} As in Russo and Sabelfeld’s work [23] the basic principle is to upgrade the labels of dynamic variables and fields if they may be updated in the untaken branches.

For upgrades of local variables the typing rules generate sufficient information with the local effect $L$. For object oriented languages like LJGS, obtaining precise information about heap write effects is more involved. Including such an analysis in LJGS would go beyond the scope of this paper. Instead, we rely on an external static analysis for write effects that provides (abstract) references to potentially updated fields in branching statements and method calls. In the semantics, we encapsulate the analysis result in the meta-function call $\mathcal{R} = \text{updaterefs}(sl, L, \mu)$. The function \texttt{updaterefs} takes as arguments the location of a branching statement (or the name of a method), a stack frame, and a heap, and returns a set $\mathcal{R}$ of (concrete) field references $\texttt{oref}.F$. The result $\mathcal{R}$ contains references to all fields that may be updated by the statement labelled $sl$, the concretization of the externally analyzed write effect for $L$ and $\mu$. The technical report gives a precise specification of \texttt{updaterefs}.

There are a number of interprocedural points-to analyses for languages with heap references [18, 28] from which a write effect analysis suitable for implementing \texttt{updaterefs} can be derived. We limit the static analysis to write effects for simplicity; it should also be possible to directly incorporate points-to information into the dynamic IFC policy, as illustrated by Moore and Chong [20], to gain precision.
A \text{ conversion from dynamic to a static type}

The judgment $\vdash x + y/L/\mu \downarrow N + N' / \mu$ covers if-statements where the branch condition is satisfied. Selecting a local variable after joining its label with the current program counter label.

A \text{ dynamic-bottom label. Finally, rule static type. Converting to the public type requires either a static source type of bottom or}

A \text{ security level. A static-to-dynamic conversion always succeeds in a well-typed LJGS program.}

A \text{ destination type changes a label that corresponds to a cast’s source type } \varsigma \text{ to the dynamic type creates a dynamic label that contains the source type as}

A \text{ that has an updated security label attached. The updated label is the join of the operands’ labels. Allocations, covered by rule}

A \text{ and global program counter label } \ell. \text{ Apart from the}

A \text{ that enter and leave contexts. Rule estep-if-true covers if-statements where the branch condition is satisfied. Selecting a branch potentially creates an implicit flow from the condition to the execution context of the

\textbf{Figure 11} Local updates (excerpt) $\vdash e/L/\mu \downarrow v/\mu'$ and label conversion $\alpha' \Rightarrow a \vdash \varsigma' \Rightarrow \varsigma$

\subsection{Reduction rules}

The judgment $\ell; \; g \vdash rs/L/\mu \rightarrow rs'/L'/\mu'$ defines the small step reduction of a configuration under the local program counter label $\ell$ and global program counter label $g$. Apart from the rules for casts, reduction mostly follows the principles of other dynamic FFC systems [3, 23]. Figures 11 and 12 show some of the rules. The technical report contains the full semantics.

| Figure 11 | Local updates (excerpt) $\vdash e/L/\mu \downarrow v/\mu'$ and label conversion $\alpha' \Rightarrow a \vdash \varsigma' \Rightarrow \varsigma$ |
value casts. A cast execution context stores the converted and original types and labels. Context casts, also not shown, completely execute the first context before moving on to the second. Similarly, but use the stored converted labels to reduce their bodies. Sequence execution context is completely executed, it is discarded (not shown). Cast contexts (not shown) work label such that global effects also respect the augmented context. When a run-time security context, covered by rule Reduction under a run-time security context, covered by rule

- **Estep-if-true**

  \[
  r_{v[c_2]} = L[y] \quad c' := c_1 \cup c_2 \quad \ell' := \ell \cup c' \quad R = \text{updaterefs}(s_l, L, \mu)
  \]

  \[\ell; \quad g \vdash \text{if } \{s_l, L, G\}(x == y)\{s_1\}\{s_2\}] / L/ \mu \rightarrow \text{cx}[L, G, R, \ell'] / (\{s_1\}) / L/ \mu\]

- **Estep-cx-done**

  \[
  \mu' = \text{upgr}(\mu, R, G, \ell') \quad L' = \text{upgr}(L, L, G, \ell')
  \]

  \[\ell; \quad g \vdash \text{cx}[L, G, R, \ell'] / (\{\text{done}\})] / L/ \mu \rightarrow \{\text{done}\} / L'/ \mu\]

- **Estep-cx-cast**

  \[
  a \Rightarrow a' \vdash \ell' \quad a \Rightarrow a' \vdash g \Rightarrow g'
  \]

  \[\ell; \quad g \vdash (\{a \Rightarrow a'\}) / L/ \mu \rightarrow \text{cx}[a/\ell/g \Rightarrow a'/\ell'/g'] / (\{s\}) / L/ \mu\]

**Figure 12** Reduction: entering and leaving contexts (excerpt) \[\ell; \quad g \vdash rs/L/\mu \rightarrow rs'/L'/\mu'\]

branch. Thus, the reduction results in a run-time security context that stores a program counter label \(\ell''\) which includes the value labels of the operands and the label of the program counter in the outer context. The run-time context also stores the effect annotations of the conditional and the field reference set \(R\) that points to the heap locations that are potentially modified during the execution the untaken branch \(s_2\). The rules for failing conditions, while loops and method calls (not shown) are similar. Method calls additionally create a calling context \(\text{call } \{M, var, x, y_1, \ldots, y_n, L\}\{E\}\) that initializes new stack frames and copies the method result into the caller’s stack frame in a straightforward way.

Rule **Estep-cx-done** exits the run-time context and, to counter illegal implicit flows, performs a preemptive upgrade of local variables and fields mentioned in the context. The meta functions \(\text{upgr}(\mu, R, G, \ell)\) and \(\text{upgr}(L, L, G, \ell)\) perform these upgrades for all field references in \(R\) and all (dynamic) variables in \(L\), respectively. The functions use the program counter label for upgrading, if it is dynamic. But, due to context casts, upgrades may also be necessary in static contexts where the program counter label \(\ell\) carries no information. For example, consider the following statement that contains a context cast inside of a conditional:

```java
if(this.high) { (HIGH \Rightarrow +) { this.dyn = 42; } }
```

Here, the type of field `high` is the static level `HIGH` and that of `dyn` is dynamic. According to the IFC principle outlined above, the semantics should upgrade `this.dyn` after executing the statement, as a dynamic field is conditionally updated with a high-security program counter. In those situations, the upgrade functions use the greatest lower bound of the global effects \(G\) for upgrading. This choice is safe because the source type of context casts are always reflected in the global effects (cf. typing rule `st-cx-cast`). The technical report gives a complete definition of the `upgr` functions.

Context casts, covered by rule **Estep-cx-cast**, determine the local and global program counter labels for executing their body with the same label conversion judgment used for value casts. A cast execution context stores the converted and original types and labels. Reduction under a run-time security context, covered by rule **Estep-cx-step**, uses the stored, modified program counter label. The global program counter label is joined with the stored label such that global effects also respect the augmented context. When a run-time security context is completely executed, it is discarded (not shown). Cast contexts (not shown) work similarly, but use the stored converted labels to reduce their bodies. Sequence execution contexts, also not shown, completely execute the first context before moving on to the second.
class C { int F; int G[LOW];

void m(int y) where { y ∼ ⋆ } and { ⋆, LOW } {
  LocalMap locals = getCall();
  ObjectMap objects = getObjects();
  PcStack lPC = new PcStack();
  PcStack gPC = getGlobalPC();
  int g = this.G;
  int x = (⋆ ✓ HIGH) g;
  locals.put("x", Levels.HIGH);
  x = x + y;
  locals.put("x", join(locals.get("x"), locals.get("y")));
  lPC.push(locals.get("x"));
  gPC.push(locals.get("x"));
  if [this.F] (x == 5) {
    this.F = 42;
    objects.put(this, "F", gPC.get());
    objects.put(this, "F", join(objects.get(this, "F"), gPC.get()));
    lPC.pop(); gPC.pop();
    int y = this.F
    locals.put("y", objects.get(this, "F"));
    cast(locals.get("y"), Level.LOW);
    this.G = (LOW ✓ ⋆) y;
  }
}  
}

Figure 13 An LJGS class compiled to plain Java code

6 Execution Model

The dynamics of LJGS attach security labels to static and dynamic values alike. Fortunately, LJGS is designed such that static labels can be erased in a realistic implementation. In this section, we sketch a compilation strategy from Java with LJGS security annotations to plain Java. The actual implementation of a compiler to bytecode is ongoing work.

Figure 13 shows an LJGS class with a dynamic field F, a statically LOW field G and a method m that includes typecasts. LJGS specific annotations and casts are coloured blue. The Figure also shows, in red, the Java code that an LJGS compiler would produce according to our execution model. The blue parts would be removed from the compilation result.

First, the required datastructures are brought into scope: Line 5 retrieves a LocalMap locals that maps local variables to security levels. Each executing method call possesses its own LocalMap. The caller initializes the LocalMap with the security levels of the dynamic method arguments and publishes it for the callee using the method setCall as illustrated with the following code fragment:

```java
int x = /* some dynamic variable */
LocalMap localsForM = new LocalMap();
localsForM.put("x", locals.get("x"));
setCall(localsForM);
m(x);
```

The globally accessible ObjectMap objects (line 6) is a weak map from objects and field names to security levels which stores the dynamic labels of all non-null dynamic fields.

Lines 7 and 8 retrieve the PcStack objects to track the levels of dynamic local and global program counters. A PcStack is a stack of security levels that stores the level of each dynamic branch condition or method call receiver. The join of all security levels on a stack, calculated by PcStack.get(), yields the level of the current, dynamic program counter. The local program counter stack is freshly initialized for the method while the global program counter stack is available through the static method getGlobalPC().

Line 9 is the first statement taken from the original LJGS program. As it is a static update, no instrumentation is needed. Lines 10 and 11 perform the cast from HIGH to ⋆. As casts from static to dynamic never fail, the value of g is copied to x. Line 11 stores the source level mentioned in the cast for x in locals. Similarly, line 14 updates the local map for x with the join of the labels of x and y, using locals and the static method join.

Line 18 starts a context with a dynamic program counter. Thus, the dynamic label of the tested variable x gets pushed to the local and global PcStacks. The field update in line 19
with a low-security constant requires an update to the object map with the label of the global pc (line 20). Line 22 forms the join point for the conditional. To protect against implicit flows, the label of field F is joined with the level of the global program counter. Afterwards, line 25 pops the program counter stacks. The cast of the dynamic variable to the static security level LOW compiles to lines 28 and 29. The static method cast checks if y’s label can be converted to LOW. If not, as is the case in this example, the program is aborted. Otherwise line 29 performs the field update no further dynamic security tracking of y’s value.

The compilation of LJGS can rely on the typing derivation of m to determine whether code is dynamic or static and thus whether label tracking code should be emitted: For example, line 9 in Figure 13 does not require tracking code as it is a static update with a public program counter, whereas line 10 is a dynamic update that needs to be tracked.

Polymorphic methods, like method max of Figure 1, can be called with dynamic or static arguments. To avoid overhead in the static case, a compilation procedure can generate different versions of max, say max_STATIC_STATIC and max_DYN_DYN, for static and dynamic calls, respectively. The Java method max_STATIC_STATIC would not contain any tracking code whereas max_DYN_DYN would track all updates and program counters. The corresponding results are not shown here for lack of space but included in the technical report. It is not necessary to generate versions for other combinations of parameters, like max_STATIC_DYN, as they are ruled out by the method constraints.

### 7 Unanalyzable Code

LJGS, as presented in the previous sections, relies on two kinds of static analysis: A type analysis checks the typing rules of Section 4. It requires all classes to be annotated with field types and method signatures, and checks all method bodies for compliance with their signature. Additionally, a write effect analysis supports the dynamic IFC (cf. Section 5.3).

From a practical standpoint, a major use case for gradual typing is the integration of legacy code that is hard to type and analyze statically. In addition, one might expect that dynamically typed code could take advantage of highly dynamic language features like reflection. This section explains how to extend LJGS to accommodate for code that cannot be easily annotated or analyzed. We require, however, that it is possible to instrument this unanalyzable code, either by source- or bytecode-transformation or by integrating a corresponding monitor to the virtual machine. We thus do not consider legacy code that is impractical to instrument, like precompiled C-libraries.

#### 7.1 Default, Dynamic Type Annotations

The type-checking of unanalyzable code can be avoided by assuming dynamic annotations by default and using dynamic IFC during its execution. Consider the following example where LegacyClass represents a class that should not be subject to (security-) type-checking.

```java
class LegacyClass { int legacyField; int legacyMethod(int x, int y) {...}}
class LJGSClass { void m() { z = reflectiveCall("any" + "Method", x, y); }}
```

The default annotation for the field legacyField would be ⋆ and the default constraints and effect for method legacyMethod would be \{⋆ ≤ ret, x ≤ ⋆, y ≤ ⋆\} and \{⋆\}, respectively. As long as legacyMethod does not use LJGS specific features like casts and only accesses other legacy classes, the method’s body will comply with the default signature; type-checking would only generate trivial constraints that classify all entities as dynamic.

Care has to be taken in the presence of abstraction-breaking features like reflection which could allow legacy methods to access statically typed fields and methods of LJGS classes that
derive from legacy classes. If these features are required, the run-time enforcement needs to be extended to dynamically block access to non-legacy classes.

A reflective call, as illustrated in line 2, has to be treated in the same way as legacy code. Thus, the run-time enforcement needs to check dynamically if the called method, here `anyMethod`, complies to a default signature.

### 7.2 Avoiding the Analysis of Write Effects

If a precise write effect analysis is infeasible for legacy code, a more conservative approximation can be used, for example, by assuming that all fields of all exposed classes of a legacy Java library are updated when calling a legacy method.

Another possibility is to use a purely dynamic enforcement, like Austin and Flanagan’s non-sensitive-upgrade policy (NSU, [3]). However, a purely dynamic approach may report false positives that would pose no problems for flow-sensitive static typing [23] whereas a hybrid approach, as the one taken by LJGS, is strictly more flexible.

Instead of falling back to purely dynamic IFC, it is possible to assert a particular write effect for legacy method calls and reflective calls and check compliance dynamically using a dynamic effect analysis, like access permission contracts [15].

### 8 Correctness

To guarantee that the statics and dynamics presented in the previous sections enforce security according to our attacker model, we need to prove termination insensitive non-interference. As the dynamics only check the security labels of dynamically typed values, non-interference also relies on the soundness of the type system which guarantees that statically typed data is not responsible for program crashes caused by security violations. We always implicitly assume that an LJGS program is well-formed according to the standard typing principles of Java. In particular we rely on the fact that no variables or object fields are accessed uninitialized. Also, we implicitly assume that the class hierarchy in an LJGS program is well-typed, according to Definition 5. Proof sketches of the theorems stated in this section can be found in the technical report.

#### 8.1 Soundness of the type system

Simple typing judgments for values and annotations connect static and dynamic domains.

▶ **Definition 7 (Typing of Dynamic Domains).** A security label $\varsigma$ has type $\alpha$, written $\vdash \varsigma : \alpha$, if either (i) $\varsigma = \bullet$, (ii) $\varsigma = D(A)$ and $\alpha = \star$, or (iii) $\varsigma = S$ and $\alpha = A$. A security label is typed by a type variable $\alpha$ under constraints $\mathcal{C}$, written $\mathcal{C} \vdash \varsigma : \alpha$, if there exist $\alpha$ and $\theta$ such that $\theta \models (\mathcal{C} \cup \{ \alpha \leq \alpha \})$ and $\vdash \varsigma : \alpha$. A stack frame $L$ is well-typed under constraints $\mathcal{C}$ and environment $\Gamma$, written $\Gamma, \mathcal{C} \vdash L$ if for all bindings $x \mapsto \rho v[\varsigma]$ in $L$ it holds that $\mathcal{C} \vdash \varsigma : \Gamma(x)$. This judgment essentially checks that dynamically typed variables have either dynamic or public labels. A heap $\mu$ is well-typed, written $\vdash \mu$, if for all field values it contains, the value label has the type of the corresponding field declaration.

The type soundness and non-inference lemmas require a typing judgment for execution contexts, $\gamma, \ell, g \vdash E : \Gamma_1 \Rightarrow \Gamma_2, \mathcal{C}, \mathcal{E}[\ell']$, $g'$. The judgment describes the typing environment of the execution context, and, given its program counter labels $\ell, g$, it defines the program counter labels $\ell', g'$ that are active at its hole. For example, starting with labels $\ell, g$, the context $\text{cx}[\mathcal{L}, \mathcal{G}, \mathcal{R}, \ell'] \{ \langle \rangle \}$ has the labels $\ell'$ and $\ell' \cup g$ active at its hole. The constraints,
A typed configuration $E(s)/L/\mu$ combines the typings of its individual components. Thus we write $\gamma, \ell, g \vdash E(s)/L/\mu : \Gamma_1 \Rightarrow \Gamma_2, C, E[l', g']$ if (i) $\Gamma_1, C \vdash L$ (ii) $\gamma \vdash \mu$ and $\gamma, \ell, g \vdash E : \Gamma_1 \Rightarrow \Gamma_2, C, E[l', g']$, (iii) $\gamma \vdash s : \Gamma_1 \Rightarrow \Gamma_2, C, E$ and $C \vdash \ell : \gamma$ (iv) $\ell \leq g$. As usual for a gradually typed system, a well typed LJGS program guarantees a refined progress property. While (security related) run-time errors in static code are ruled out, a program may run into a dynamically stuck configuration where dynamic code goes wrong.

**Definition 8** (Dynamically stuck). A well-typed configuration $\gamma, \ell, g \vdash E(s)/L/\mu : \Gamma_1 \Rightarrow \Gamma_2, C, E[l', g']$ is **dynamically stuck** if it attempts either: (i) an insecure value cast from dynamic to static, $s = (\var{a \in \star} x)$, $a \in \{\star, A\}$, $L[x] = r v[D(A')]$, and $a \not\subseteq A$, or (ii) an insecure context cast from dynamic to static $s = ((\star \Rightarrow a)'s')$, $\ell' = D(\Pi C)$, $a \in \{\star, \Pi C\}$ and $\Pi C \not\subseteq A$.

The progress result for LJGS states that well typed programs that are not able to make a reduction step are either done, or dynamically stuck. The preservation result is standard: a well typed LJGS configuration that can be reduced yields another well-typed configuration with possibly more permissible constraints and effects. The precise definitions for progress and preservation are given in the technical report.

### 8.2 Non-Interference

The non-interference theorem for LJGS states that methods run under **low-equivalent environments**, that is, environments that an attacker cannot distinguish, produce **low-equivalent results**. First we define the notion of low-equivalence and subsequently state the non-interference theorem. In the following, we assume that low is the upper bound of security levels that an attacker can observe. We refer to a security level $A$ as **high** if $A \not\subseteq \text{low}$.

**Definition 9** (Low-equivalent values, objects, heaps and stack-frames). Let $B$ be a (partial) bijective mapping on heap references (or refs). Two values $rv_1[s], rv_2[s]$ are equivalent under $B$, written $rv_1[s] =_B rv_2[s]$ if either $rv_1[s]$ are equal integers, or $rv_1 \in \text{dom}(B)$ and $B(rv_1) = rv_2$. Two objects $obj_1, obj_2$ are low-equivalent under $B$, written $obj_1 =_{B, \text{low}} obj_2$, if for all fields $F$ where $\text{getfield}(F, obj_1) \not= \text{getfield}(F, obj_2)$ it holds that either (i) $\text{fsec}(F) = A$ and $A \not\subseteq \text{low}$, or (ii) $\text{fsec}(F) = \star$, $\text{getfield}(F, obj_1) = rv_1[D(A_1)]$, $\text{getfield}(F, obj_2) = rv_1[D(A_2)]$, and $A_1 \not\subseteq \text{low}$ and $A_2 \not\subseteq \text{low}$. Two heaps $\mu_1, \mu_2$ are low-equivalent, written $\mu_1 =_{B, \text{low}} \mu_2$ if (i) $\text{dom}(\mu_1) \supseteq \text{dom}(B)$ and $\mu_2 \supseteq \text{dom}(B^{-1})$, and (ii) the objects stored at the references listed in $B$ are low-equivalent with respect to $B$. Two environments $L_1, L_2$ are low-equivalent with respect to environment $\Gamma$, solution $\theta$, and bijection $B$, written $L_1 \equiv_{\Gamma, \theta, B, low} L_2$ if $\text{dom}(L_1) = \text{dom}(L_2)$, $L_1[\text{this}] = L_2[\text{this}]$, and for all $\text{var} \in \text{dom}(L_1)$ either (i) $L_1[\text{var}] = rv_1[\text{vals}_1], L_2[\text{var}] = rv_2[\text{vals}_2]$, and $rv_1 =_B rv_2$, (ii) $\theta(\Gamma(\text{var})) = A$, and $A \not\subseteq \text{low}$, or (iii) $\theta(\Gamma(\text{var})) = \star$ and $\text{vals}_1 D(\text{A}_1), \text{vals}_2 D(\text{A}_2)$, and $A_1 \not\subseteq \text{low}$ and $A_2 \not\subseteq \text{low}$.

**Theorem 10** (Non-interference). Let $E(s)/L_1/\mu_1$, $E(s)/L_2/\mu_2$ be two configurations with typings $\gamma, \ell, g \vdash E(s)/L_i/\mu_i : \Gamma_1 \Rightarrow \Gamma_2, C, E[l, g]$ $(i \in \{1, 2\})$ and solution $\theta \models C$. Let $B$ be a bijection such that $L_1 \equiv_{\Gamma, \theta, B, low} L_2$ and $\mu_1 \equiv_{B, \text{low}} \mu_2$. Given two executions $\ell : g \vdash E(s)/L_1/\mu_1 \rightarrow^* (\text{done})/L_i/\mu_i$ there exists a bijection $B' \supseteq B$ such that $L_i' \equiv_{\Gamma, \theta, B', \text{low}} L_2'$ and $\mu_i' \equiv_{B', \text{low}} \mu_2'$. 
9 Related Work

There is a large body of prior work on security type systems that ultimately goes back to Denning and Denning’s classic paper on information flow security [11]. We focus on discussing works on security type systems and dynamic security enforcement designed for “main-stream” programming languages and defer the reader to the overview articles of Sabelfeld and Myers [24] and Hedín and Sabelfeld [16] for other aspects of language based security.

FlowCaML [22] is an ML dialect supporting static polymorphic security types with security constraints similar to those of LJGS. FlowCaML additionally supports higher-order types and complete type inference. Sun, Banerjee and Naumann describe a modular polymorphic type system for a Java-like, object-oriented language [30]. The polymorphic method signatures are comparable to the static fragment of LJGS, but they do not specify a constraint-based typechecking algorithm. Instead, they apply a standard algorithm to all instances of signatures. Their system additionally supports class definitions with security type parameters and full type inference. Both approaches seem compatible with LJGS and we plan to investigate how they could be adapted for gradual security typing in future work.

Barthe et al describe an information flow type system for Java Bytecode and develop a corresponding certified type checker [7]. Their system supports Objects, virtual, monomorphic methods, exceptions and arrays. JIF [21] is an extension to Java with static security types and first-class dynamic security-labels. In JIF, security types may depend on dynamic labels and the interaction of labels and types is verified statically during type checking. In contrast, LJGS does not restrict dynamically labeled values statically but enforces non-interference at run-time. Also, while JIF’s dynamic labels may be used to implement some form of ad-hoc dynamic IFC, LJGS aims for the integration of principled dynamic IFC techniques.

LJGS’ run-time security enforcement for values with dynamic labels is an adaption of Russo and Sabelfeld’s technique for hybrid information flow control [23]. Chandra and Franz [9] present an implementation of a hybrid IFC framework for Java Bytecode that is based on the same principles and closely resembles LJGS’ enforcement for dynamic fragments. The updaterefs function that supports dynamic IFC in LJGS can be implemented with off-the-shelf points-to analysis like that proposed by Khedker et al [18]. We refer the interested reader to the survey paper of Smaragdakis and Balatsouras [28] for further related work on static points-to analysis. Moore and Chong [20] studied the applicability of static points-to analyses to improve the efficiency of hybrid IFC. Using the points-to information in the hybrid monitor allows their system to infer when heap values do not need tracking anymore. Although their ideas seem compatible with LJGS, our hybrid enforcement settles for an analysis of simple write effects and we rely on explicit static typing for optimization.

Austin and Flanagan propose a series of sound, purely dynamic IFC techniques, the most basic being the no-sensitive-upgrade policy, that do not rely on prior static analysis [3, 4, 5]. These approaches are also compatible with LJGS’ type system.

Recently, Bedford et al proposed a type system for a simple imperative core language that also includes types for entities with statically unknown security levels [8]. Their work focuses on inferring program points for run-time instrumentation based on the typing results. In contrast, LJGS’ focus is on giving the programmer explicit control over the boundaries between static and dynamic checking.

The original work on gradual typing [32, 19, 27, 34] focuses on simple types with extensions like refinement predicates, polymorphism [1], and union types [33]. More recently, researchers started to gradualize type systems that check properties unrelated to the structure of values, like type annotations [14], ownership [25], typestate [35], and session types [31]. Gradual
security type systems also fall into this category. Disney and Flanagan study gradual security types for a pure lambda calculus [12] and we describe MLGS, a system for a calculus with ML style references, in our prior work [13]. Compared with LJGS treatment of object fields, MLGS treats mutable references in a more liberal way because it admits casts between reference types with static and dynamic content. However, this liberalism comes at the cost of requiring pervasive run-time labelling even for static values and it blurs the separation of static and dynamic code, which, although sound, runs contrary the execution model and design goal of LJGS.

10 Conclusion and Future Work

LJGS is a sequential Java core calculus with gradual security typing. The calculus strictly separates statically verified and dynamically checked code which enables running statically checked code without run-time security labels. Methods may have polymorphic security signatures that accept static or dynamic arguments.

There are several avenues for future work. We are currently implementing the type checking and run-time enforcement for (sequential) Java based on the principles of LJGS. A type checker is already available as an accompanying artifact to this paper but the run-time instrumentation is still work in progress. With this implementation we want to investigate the practicality of the type system for realistic applications and to evaluate different compilation- and execution strategies for dynamic code. We also want to extend the system with security type parameters for classes and methods, and type inference.

References

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WriteEffects

To yield efficient Java code after compilation (cf. Section 6), the static variables should

be an execution where the statement

if and only if for all

A

s

location

Such an

approximation of the write effects of

Definition 11

A

Implementation of

can be implemented using a static analysis for write effects

Figure 14

Definition of upgrade functions

A

Specification of the functions upgr and updaterefs

Figure 14 defines the upgr meta functions for heaps and local variables. If the context label

ℓ is static then the upgrade is based on the greatest lower bounds of the global effects G.

On variable upgrade, the current definition checks if the variable carries a dynamic label.
To yield efficient Java code after compilation (cf. Section 6), the static variables should be
removed from L after instantiation of polymorphic parameters.

The meta-function R = updaterefs (sl, L, µ) yields the results of the static write effect
analysis of the statement labeled as sl.

Definition 11 (Specification of updaterefs). Let ℓ; g ⊢ E(s)/L/µ →∗ E(done)/L′/µ′
be an execution where the statement s has label sl.

A reference set R is an approximation of the concrete write effects of sl if and only if
for all oref → obj ∈ µ and F where getfield (F, µ[oref]) ≠ getfield (F, µ′[oref]), it holds that
oref.F ∈ R.

An implementation of updaterefs is sound for a set of configurations rs0/L1/µ1 . . . rs0/Ln/µn
if and only if for all i ∈ {1...n} and all configurations E′(s′)/L′/µ′ where ℓ; g ⊢
E(s)/L1/µi →∗ E′(s′)/L′/µ′ and s has label sl it holds that updaterefs (sl, L′, µ′) is
an approximation of the write effects of sl.

Such an updaterefs function can be implemented using a static analysis for write effects

WriteEffects: Let A be the set of abstract pointers returned by WriteEffects for source
location sl. Then the set of concrete references R = updaterefs (sl, L, µ) is the instantiation
of A for the concrete environment L and the concrete heap µ.

upgr(µ, Ø, G, ℓ) = µ
upgr(µ, {oref.F} ⊔ R, G, D(A)) = upgr(µ, R, G, D(A))[oref → obj]
if getfield (F, µ[oref]) = rv[D(A′)]
and obj = µ[oref][F → rv[D(A ∪ A′)]]

upgr(µ, R, { }, S) = µ
upgr(µ, {oref.F} ⊔ R, G, S) = upgr(µ, R, G, S)[oref → obj]
if getfield (F, µ[oref]) = rv[D(A′)]
and obj = µ[oref][F → rv[D(A ∪ A′)]]

upgr(L, { }, G, ℓ) = L
upgr(L, {x} ⊔ L, G, ℓ) = upgr(L, L, G, ℓ) if L[x] = rv[S]
upgr(L, L, G, D(A)) = upgr(L, L, G, D(A))[x → rv[D(A ∪ A′)]]
if L[x] = rv[D(A′)]
upgr(L, {x} ⊔ L, G, D(A)) = upgr(L, L, G, D(A))[x → rv[D(A ∪ A′)]]
if L[x] = rv[D(A′)]
upgr(L, L, { } S) = L
upgr(L, {x} ⊔ L, G, S) = upgr(L, L, G, D(A))[x → rv[D(A ∪ A′)]]
if L[x] = rv[D(A′)] and A = ∏G

Figure 14 Definition of upgrade functions

A Specification of the functions upgr and updaterefs

Figure 14 defines the upgr meta functions for heaps and local variables. If the context label
ℓ is static then the upgrade is based on the greatest lower bounds of the global effects G.

On variable upgrade, the current definition checks if the variable carries a dynamic label.
To yield efficient Java code after compilation (cf. Section 6), the static variables should be
removed from L after instantiation of polymorphic parameters.

The meta-function R = updaterefs (sl, L, µ) yields the results of the static write effect
analysis of the statement labeled as sl.

Definition 11 (Specification of updaterefs). Let ℓ; g ⊢ E(s)/L/µ →∗ E(done)/L′/µ′
be an execution where the statement s has label sl.

A reference set R is an approximation of the concrete write effects of sl if and only if
for all oref → obj ∈ µ and F where getfield (F, µ[oref]) ≠ getfield (F, µ′[oref]), it holds that
oref.F ∈ R.

An implementation of updaterefs is sound for a set of configurations rs0/L1/µ1 . . . rs0/Ln/µn
if and only if for all i ∈ {1...n} and all configurations E′(s′)/L′/µ′ where ℓ; g ⊢
E(s)/Li/µi →∗ E′(s′)/L′/µ′ and s has label sl it holds that updaterefs (sl, L′, µ′) is
an approximation of the write effects of sl.

Such an updaterefs function can be implemented using a static analysis for write effects

WriteEffects: Let A be the set of abstract pointers returned by WriteEffects for source
location sl. Then the set of concrete references R = updaterefs (sl, L, µ) is the instantiation
of A for the concrete environment L and the concrete heap µ.
int max_STATIC_STATIC(int x, int y) {
  if (x ≤ y) {x = y;}
  return x;
}

int max_DYN_DYN(int x, int y) {
  LocalMap locals = getCall();
  ObjectMap objects = getObjects();
  PcStack lPC = new PcStack();
  PcStack gPC = getGlobalPC();
  if (x ≤ y) {
    lPC.push(join(locals.get("x"),
                   locals.get("y")));
    gPC.push(join(locals.get("x"),
                  locals.get("y")));
    x = y;
    locals.put("z", join(locals.get("y"),
                          lPC.get()));
  }
  locals.put("z", join(locals.get("z"), lPC.get()));
  lPC.pop(); gPC.pop();
  return x;
}
C Complete Static Semantics

\[ \Gamma, \alpha \vdash e : \mathcal{C} \]

\begin{align*}
\text{RT-LOCAL} & \quad \mathcal{C} = \{ \Gamma(x) \leq \alpha \} \\
\Gamma, \alpha & \vdash x : \mathcal{C}
\end{align*}

\begin{align*}
\text{RT-PLUS} & \quad \mathcal{C} = \{ \Gamma(x) \leq \alpha, \Gamma(y) \leq \alpha \} \\
\Gamma, \alpha & \vdash x + y : \mathcal{C}
\end{align*}

\begin{align*}
\text{RT-NEW} & \quad a_1 \ldots a_n = \text{fieldsecs}(\mathcal{C}) \\
\Gamma, \alpha & \vdash \text{new} C(x_1, \ldots, x_n) : \mathcal{C}
\end{align*}

\begin{align*}
\text{RT-GETFIELD} & \quad a = \text{fsec}(F) \\
\mathcal{C} & = \{ \Gamma(x) \leq \alpha, a \leq \alpha \} \\
\Gamma, \alpha & \vdash x.F : \mathcal{C}
\end{align*}

\begin{align*}
\text{RT-CONST} & \quad \mathcal{C} = \{ \} \\
\Gamma, \alpha & \vdash N : \mathcal{C}
\end{align*}

\begin{align*}
\text{RT-CAST} & \quad a \preceq a' \\
\mathcal{C} & = \{ \Gamma(x) \leq a, a' \leq \alpha \} \\
\Gamma, \alpha & \vdash (a' \ll a) x : \mathcal{C}
\end{align*}

\[ \gamma \vdash s : \Gamma_1 \Rightarrow \Gamma_2, \mathcal{C}, \mathcal{E} \]

\begin{figure*}[h]
\begin{align*}
\text{ST-LOCAL} & \quad \Gamma_1, \alpha \vdash e : \mathcal{C} \\
\Gamma_2 & = \Gamma_1[\text{var} \mapsto \alpha] \\
\gamma & \vdash \text{var} = e : \Gamma_1 \Rightarrow \Gamma_2, \mathcal{C} \cup \{ \gamma \leq \alpha \}, (\{\text{var}\}, \emptyset)
\end{align*}
\end{figure*}

\begin{figure*}[h]
\begin{align*}
\text{ST-DONE} & \quad \gamma \vdash \text{done} : \Gamma \Rightarrow \Gamma, \emptyset, (\emptyset, \emptyset)
\end{align*}
\end{figure*}

\begin{figure*}[h]
\begin{align*}
\text{ST-PUTFIELD} & \quad \mathcal{C} = \{ \Gamma(x) \leq \text{fsec}(F), \Gamma(y) \leq \text{fsec}(F), \gamma \leq \text{fsec}(F) \} \\
\gamma & \vdash x.F = y : \Gamma \Rightarrow \Gamma, \mathcal{C}, (\emptyset, \{\text{fsec}(F)\})
\end{align*}
\end{figure*}

\begin{figure*}[h]
\begin{align*}
\text{ST-CALL} & \quad S = \text{constraints}(M) \\
& \quad \mathcal{G} = \text{effects}(M) \\
& \quad \text{var}_1, \ldots, \text{var}_n = \text{params}(M) \\
& \quad \mathcal{C}' = S[\text{this} \mapsto \Gamma_1(x_1), \text{var}_1 \mapsto \Gamma_1(x_1), \ldots, \text{var}_n \mapsto \Gamma_1(x_n), \text{ret} \mapsto \alpha] \\
& \quad \mathcal{C} = \mathcal{C}' \cup \{ \Gamma_1(x) \leq \alpha, \gamma \leq \alpha \} \cup \bigcup \mathcal{G} \\
& \quad \Gamma_2 = \Gamma_1[\text{var} \mapsto \alpha] \\
\gamma & \vdash \text{var} = x.M(x_1, \ldots, x_n) : \Gamma_1 \Rightarrow \Gamma_2, \mathcal{C}, (\{\text{var}\}, \mathcal{G})
\end{align*}
\end{figure*}

\[ \text{Figure 15} \quad \text{Statics: expression typing} \]

\[ \text{Figure 16} \quad \text{Statics: statement typing, base cases} \]
\[ \gamma \vdash s : \Gamma_1 \Rightarrow \Gamma_2, C, E \]

**ST-IF**
\[
\begin{align*}
\beta^\dagger & \vdash s_1 : \Gamma_1 \Rightarrow \Gamma_2', (L_1, G_1) \\
\beta^\dagger & \vdash s_2 : \Gamma_1 \Rightarrow \Gamma_2'', (L_2, G_2) \\
C' &= \{ \gamma \leq \beta^\dagger, \Gamma_1(x) \leq \beta^\dagger, \Gamma_1(y) \leq \beta^\dagger \} \\
\Gamma_2, C'' &= \Gamma_2' \cup \Gamma_2'' \\
C &= C_1 \cup C_2 \cup C' \cup C'' \\
\mathcal{E} &= L_1 \cup L_2 \\
\mathcal{G} &= G_1 \cup G_2
\end{align*}
\]
\[ \gamma \vdash \text{if } [s_1, L, G](x == y) \{ s_1 \} \{ s_2 \} : \Gamma_1 \Rightarrow \Gamma_2, C, (L, G) \]

**ST-WHILE**
\[
\begin{align*}
\beta^\dagger & \vdash s : \Gamma_1 \Rightarrow \Gamma_2, C', (L, G) \\
\Gamma_3, C'' &= \Gamma_1 \cup \Gamma_2 \\
\mathcal{C} &= \{ \gamma \leq \beta^\dagger, \Gamma_1(x) \leq \beta^\dagger, \Gamma_1(y) \leq \beta^\dagger \} \cup C'
\end{align*}
\]
\[ \gamma \vdash \text{while } [s_1, L, G](x == y) \{ s \} : \Gamma_1 \Rightarrow \Gamma_3, C, \mathcal{E} \]

**ST-SEQ**
\[
\begin{align*}
\gamma & \vdash s_1 : \Gamma_1 \Rightarrow \Gamma_2, C_1, (L_1, G_1) \\
\gamma & \vdash s_2 : \Gamma_2 \Rightarrow \Gamma_3, C_2, (L_2, G_2) \\
\mathcal{E} &= (L_1 \cup L_2, G_1 \cup G_2)
\end{align*}
\]
\[ \gamma \vdash s_1 ; s_2 : \Gamma_1 \Rightarrow \Gamma_3, C_1 \cup C_2, \mathcal{E} \]

**ST-CXCAST**
\[
\begin{align*}
\beta^\dagger & \vdash s : \Gamma_1 \Rightarrow \Gamma_2, C', (L, G) \\
\mathcal{C} &= \{ a \leq \beta^\dagger, \gamma \leq a' \} \cup C'
\end{align*}
\]
\[ \gamma \vdash (a' \Rightarrow a) \{ s \} : \Gamma_1 \Rightarrow \Gamma_2, C, (L, \{ a' \}) \]

**Figure 17** Statics: statement typing, context opening statements

\[ a \preceq a' \]

**CSTBL-FROM-DYNAMIC**
\[ * \preceq a \]

**CSTBL-TO-DYNAMIC**
\[ a \preceq * \]

**CSTBL-PUBLIC-TO-STATIC**
\[ \bullet \preceq \overline{A} \]

**CSTBL-STATIC-TO-PUBLIC**
\[ \bot \preceq \bullet \]

**Figure 18** Statics: castable types
\[ \gamma, \ell, g \vdash E : \Gamma_1 \Rightarrow \Gamma_2, C, E|\ell, g' \]

**ET-CX**

\[ \ell \leq \ell' \]
\[ g \leq g' \]
\[ \beta^1, \ell', g' \vdash E : \Gamma_1 \Rightarrow \Gamma_2', C', (L', G)|\ell'', g'' \]
\[ \Gamma_2, C'' = \Gamma_2' \cup \Gamma_2'' \]
\[ C = C' \cup C'' \cup \{ \gamma \leq \beta^1 \} \]

**ET-HOLE**

\[ \gamma, \ell, g \vdash \langle \rangle : \Gamma_1 \Rightarrow \Gamma_2, C, E|\ell, g \]
\[ \gamma, \ell, g \vdash \text{cx} \{ L, G, \ell' \} \{ E \} : \Gamma_1 \Rightarrow \Gamma_2, C, (L, G)|\ell'', g'' \]

**ET-CALLING**

\[ \text{var}_1, \ldots, \text{var}_n = \text{params} (M) \]
\[ \mathcal{S} = \text{constraints} (M) \]
\[ y = \text{return} (M) \]
\[ \mathcal{G} = \text{effects} (M) \]
\[ \Gamma_1', C' \vdash L' \]
\[ \beta^1, \bullet, g \vdash E : \Gamma_1 \Rightarrow \Gamma_2', C', E|\ell'', g'' \]
\[ C'' \cup (\Gamma_2'(y) \leq \text{ret}) <; \mathcal{S} \]
\[ G'' <; G \quad E = (L, G) \]
\[ \Gamma_2 = \Gamma_1[\text{var} \mapsto \alpha^1] \]
\[ C'' = \{ \Gamma_1(x) \leq \alpha^1 \} \cup \mathcal{S}[\text{var}_1 \mapsto \Gamma_1(x_1'), \ldots, \text{var}_n \mapsto \Gamma_1(x_n'), \text{ret} \mapsto \alpha^1] \]
\[ C = C'' \cup \bigcap_{\gamma} G \]

**ET-CXCAST**

\[ a \leq a' \quad a \Rightarrow a' \vdash \ell \Rightarrow \ell' \]
\[ a \Rightarrow a' \vdash g \Rightarrow g' \]
\[ \beta^1, \ell', g' \vdash E : \Gamma_1 \Rightarrow \Gamma_2', C', (L, G)|\ell'', g'' \]
\[ C'' = \{ \gamma \leq a, a' \leq \beta^1 \} \]

**ET-SEQ**

\[ \gamma, \ell, g \vdash E : \Gamma_1 \Rightarrow \Gamma_2, C_1, E_1|\ell'', g'' \]
\[ \gamma \vdash s_2 : \Gamma_2 = \Gamma_3, C_2, E_2 \]
\[ \gamma, \ell, g \vdash E ; s_2 : \Gamma_1 \Rightarrow \Gamma_3, C_1 \cup C_2, E_1 \cup E_2|\ell'', g'' \]

Figure 19 Statics: typing of execution contexts
C.1 Complete Dynamic Semantics

\[ \vdash e/L/\mu \Downarrow v/\mu' \]

\begin{align*}
\text{STEPUPD-CONST} & \quad v' = N[\bullet] \\
\vdash N/L/\mu & \Downarrow v'/\mu \\
\text{STEPUPD-NEW} & \quad v_1 = L[x_1], \ldots, v_n = L[x_n] \\
\text{oref} & = \text{fresh} \\
\text{v}' & = \text{oref}'[\bullet] \\
\mu' & = \mu \oplus \text{oref}' \mapsto \{C, v_1 \ldots v_n\} \\
\vdash N/L/\mu & \Downarrow v'/\mu' \\
\text{STEPUPD-MOVE} & \quad v = L[x] \\
\vdash x/L/\mu & \Downarrow v/\mu \\
\text{STEPUPD-PLUS} & \quad N[\varsigma_1] = L[x] \\
N'[\varsigma_2] & = L[y] \\
\varsigma & := \varsigma_1 \sqcup \varsigma_2 \\
\vdash x + y/L/\mu & \Downarrow N + N'[\varsigma]/\mu \\
\text{STEPUPD-GETFIELD} & \quad \text{oref}[\varsigma_1] = L[x] \\
\text{obj} & = \mu[\text{oref}] \\
\text{rv}[\varsigma_2] & = \text{getfield}(F, \text{obj}) \\
\varsigma & := \varsigma_1 \sqcup \varsigma_2 \\
\vdash x.F/L/\mu & \Downarrow \text{rv}[\varsigma]/\mu \\
\text{STEPUPD-CAST} & \quad \text{rv}[\varsigma] = L[x] \\
\text{a'} & \Rightarrow a \vdash \varsigma \Rightarrow \varsigma' \\
\vdash (a \leftarrow a')x/L/\mu & \Downarrow \text{rv}[\varsigma']/\mu
\end{align*}

\textbf{Figure 20} Dynamics: expression evaluation
\[\ell; g \vdash rs/L/\mu \rightarrow rs'/L'/\mu'\]

**Estep-local**
\[\vdash e/L/\mu \downarrow rv[\varsigma]/\mu' \quad \varsigma' := \varsigma \sqcup \ell \quad L' = L[\text{var} \mapsto rv[\varsigma']]
\]
\[\ell; g \vdash \langle \text{var} = e \rangle/L/\mu \rightarrow \langle \text{done} \rangle/L'/\mu'\]

**Estep-putfield**
\[rv'[\varsigma_1] = L[x] \quad \text{oref}[\varsigma_2] = L[y] \quad \varsigma := \varsigma_1 \sqcup \varsigma_2 \quad \varsigma' := \varsigma' \sqcup \ell \quad \mu' = \mu[\text{oref} \mapsto \text{obj}[\text{var} \mapsto rv'[\varsigma]]]
\]
\[\ell; g \vdash \langle x.F = y \rangle/L/\mu \rightarrow \langle \text{done} \rangle/L'/\mu'
\]

**Estep-seq**
\[\ell; g \vdash \langle s_1; s_2 \rangle/L/\mu \rightarrow \langle s_1 \rangle; s_2/L/\mu
\]

**Estep-call**
\[\begin{align*}
&v_1 = L[x_1] \quad \ldots \quad v_n = L[x_n] \quad \text{oref}[\varsigma] = L[x] \quad \ell' := \ell \sqcup \varsigma \\
&M'(\text{var}_1, \ldots, \text{var}_m) \quad \text{where } S \text{ and } G\{s \text{ return y} \} = \text{dispatch} (C, M) \\
&L' = \text{initframe} (M, \text{this} \mapsto v, \text{var}_1 \mapsto v'_1, \ldots, \text{var}_m \mapsto v'_m) \\
&R = \text{updaterefs} (M, L, \mu)
\end{align*}
\]
\[\ell; g \vdash \langle \text{var} = x.M(x_1, \ldots, x_n) \rangle/L/\mu \rightarrow \text{cx} [[\{}], G, R, \ell', \{\text{call}[M', \text{var}, x, x_1, \ldots, x_n, L']\{\langle s \rangle\}]\}/L/\mu
\]

**Estep-cxcast**
\[a \Rightarrow a' \vdash \ell \Rightarrow \ell' \quad a \Rightarrow a' \vdash g \Rightarrow g'
\]
\[\ell; g \vdash ((a \Rightarrow a'[\{s\}])/L/\mu \rightarrow \text{cx}[a/\ell/g \Rightarrow a'/\ell'/g'][\{s\}]/L/\mu
\]

**Estep-seq-done**
\[\ell; g \vdash \langle \text{done} \rangle; s/L/\mu \rightarrow \langle s \rangle/L/\mu
\]

**Estep-castcx-done**
\[\ell; g \vdash \text{cx}[a/\ell/g \Rightarrow a'/\ell'/g'][\langle \text{done} \rangle]/L/\mu \rightarrow \langle \text{done} \rangle/L/\mu
\]

**Estep-calling-done**
\[M'(\text{var}_1, \ldots, \text{var}_m) \quad \text{where } S \text{ and } G\{s \text{ return y} \} = \text{definition} (M) \\
rv[\varsigma] = L'[y] \quad \varsigma' := \varsigma \sqcup \ell \quad L' = L[\text{var} \mapsto rv[\varsigma']]
\]
\[\ell; g \vdash \text{call}[M, \text{var}, x, \text{var}_1', \ldots, \text{var}_n', L''\{\langle \text{done} \rangle\}]/L/\mu \rightarrow \langle \text{done} \rangle/L'/\mu
\]

Figure 21 Dynamics: reduction rules, part 1
\(\ell; g \vdash rs/L/\mu \rightarrow rs'/L'/\mu'\)

**Estep-Seq-Step**
\[
\ell; g \vdash E(s)/L/\mu \rightarrow E'(s'_1)/L'/\mu'
\]

**Estep-Cx-Step**
\[
g' := g \sqcup \ell' \quad \ell'; g' \vdash E(s)/L/\mu \rightarrow E'(s'/L'/\mu')
\]

**Estep-Calling-Step**
\[
\bullet; g \vdash E(s)/L'/\mu \rightarrow E'(s'/L'/\mu')
\]

**Estep-CastingCx-Step**
\[
\ell; g \vdash \text{call} [M', \text{var}, x, x_1, \ldots, x_n, L']\{E(s)\}/L/\mu \rightarrow \text{call} [M', \text{var}, x, x_1, \ldots, x_n, L'']\{E'(s')\}/L'/\mu'
\]

**Estep-If-True**
\[
rv[\varsigma_1] = L[x] \quad \varsigma' := \varsigma_1 \sqcup \varsigma_2 \quad \ell'' := \ell \sqcup \varsigma' \quad R = \text{updaterefs}(s_l, L, \mu)
\]

**Estep-If-FALSE**
\[
rv'[\varsigma_2] = L[y] \quad rv \neq rv' \quad \ell'' := \ell \sqcup \varsigma_1 \sqcup \varsigma_2 \quad R = \text{updaterefs}(s_l, L, \mu)
\]

**Estep-While-TRUE**
\[
rv[\varsigma_1] = L[x] \quad rv[\varsigma_2] = L[y] \quad \ell'' := \ell \sqcup \varsigma_1 \sqcup \varsigma_2
\]

**Estep-While-FALSE**
\[
rv[\varsigma_1] = L[x] \quad rv'[\varsigma_2] = L[y] \quad rv \neq rv'
\]

- **Figure 22** Dynamics: reduction rules, part 2
\[ a \Rightarrow a' \vdash \varsigma \Rightarrow \varsigma' \]

<table>
<thead>
<tr>
<th>Rule Type</th>
<th>Premise</th>
<th>Conclusion</th>
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</thead>
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<tr>
<td>CASTCONV-TRIVIAL</td>
<td>( a \Rightarrow a' \vdash \varsigma \Rightarrow \varsigma' )</td>
<td></td>
</tr>
<tr>
<td>CASTCONV-STATIC-TO-DYN</td>
<td>( A \Rightarrow \star \vdash \varsigma \Rightarrow D(A) )</td>
<td>( A \subseteq A' \Rightarrow \star \vdash D(A) \Rightarrow S )</td>
</tr>
<tr>
<td>CASTCONV-PUBLIC-TO-STATIC</td>
<td>( \bullet \Rightarrow A \vdash \varsigma \Rightarrow S )</td>
<td></td>
</tr>
<tr>
<td>CASTCONV-PUBLIC-TO-DYN</td>
<td>( \bullet \Rightarrow \star \vdash \varsigma \Rightarrow D(\bot) )</td>
<td></td>
</tr>
<tr>
<td>CASTCONV-DYN-TO-PUBLIC</td>
<td>( \star \Rightarrow \bullet \vdash D(\bot) \Rightarrow \bullet )</td>
<td>( \bot \Rightarrow \bullet \vdash S \Rightarrow \bullet )</td>
</tr>
</tbody>
</table>

\[ \text{Figure 23} \text{ Dynamics: Label conversion} \]
D Correctness

D.1 Progress

Theorem 12 (Progress). For any well typed configuration $\gamma, \ell, g \vdash E(s)/L/\mu : \Gamma_1 \Rightarrow \Gamma_2, C, E[\ell', g']$ one of the following three cases holds: (i) $E(s) = \langle \text{done} \rangle$, (ii) the configuration is dynamically stuck, or (iii) there exists $E'(s'), L', \mu'$ such that $\ell; g \vdash E(s)/L/\mu \rightarrow E'(s')/L'/\mu'$.

The proof is by induction on the typings of execution context $E$. The interesting causes for a configuration to be stuck are:
1. an undefined join operation on labels, and
2. a failing cast conversion.

In all cases the constraints generated by well-typed configuration ensure that all join operations required by the semantics are defined. This fact is essentially captured by the following lemma:

Lemma 13. If $\vdash \varsigma : \theta(\alpha), \vdash \varsigma' : \theta(\alpha')$, $\theta \models C$ and $\{\alpha \leq \beta, \alpha' \leq \beta\} \subseteq C$ then there exists $\varsigma''$ such that $\varsigma'' := \varsigma \sqcup \varsigma'$. If $\vdash \varsigma : \theta(\alpha), \vdash \ell : \theta(\gamma)$, $\theta \models C$ and $\{\gamma \leq \alpha\} \subseteq C$ then there exists $\varsigma''$ such that $\varsigma' := \varsigma \sqcup \ell$.

The lemma is easily verified by checking the definition of solvability.

Inspecting the definitions of castability and label conversion, it is clear that all failing well-typed casts fall under dynamically stuck.

D.2 Preservation

Theorem 14 (Preservation). Given a well typed configuration $\gamma, \ell, g \vdash E(s)/L/\mu : \Gamma_1 \Rightarrow \Gamma_2, C, (\mathcal{L}, \mathcal{G})[\ell', g']$ and a reduction step $\ell; g \vdash E(s)/L/\mu \rightarrow E'(s')/L'/\mu'$ then there exists $\Gamma'_1, C', \ell'', g''$, and $(\mathcal{L}', \mathcal{G}')$ such that $\gamma, \ell, g \vdash E'(s')/L'/\mu' : \Gamma'_1 \Rightarrow \Gamma_2, C', (\mathcal{L}', \mathcal{G}')[\ell'', g'']$ and $C' \subseteq C, \mathcal{L}' \subseteq \mathcal{L}, \mathcal{G}' \subseteq \mathcal{G}'$.

The proof is by induction on the reduction step.

D.3 Non interference

Theorem 15 (Non-interference). Let $E(s)/L_1/\mu_1, E(s)/L_2/\mu_2$ be two configurations with typings $\gamma, \ell, g \vdash E(s)/L_i/\mu_i : \Gamma_1 \Rightarrow \Gamma_2, C, E[\ell', g']$, $(i \in \{1, 2\})$ and solution $\theta \models C$. Let $B$ be a bijection such that $L_1 \equiv_{\Gamma_1, \theta, B, \text{low}} L_2$ and $\mu_1 \equiv_{B, \text{low}} \mu_2$. Given two executions $\ell; g \vdash E(s)/L_i/\mu_i \rightarrow^{*} \langle \text{done} \rangle/L'_i/\mu'_i$ there exists a bijection $B' \supseteq B$ such that $L'_1 \equiv_{\Gamma_2, \theta, B', \text{low}} L'_2$ and $\mu'_1 \equiv_{B', \text{low}} \mu'_2$.

The proof is by induction on execution context. Most inductive cases are straightforward using the induction assumption and the type preservation result.

The interesting base-cases are those for branching statements and method calls. They require two confinement lemmas to show secure execution for the body of the context. Confinement states that the low-security parts of a configuration do not change when executing code with high-security global or local contexts.
D.3.1 Confinement

Definition 16 (Low inclusion). A heap \( \mu \) low-includes a heap \( \mu' \), written \( \mu \supseteq_{low} \mu' \) iff for all references \( oref \in \text{dom}(\mu) \),

- \( oref \in \text{dom}(\mu') \), and
- if getfield \((F, \mu'[oref]) = rv[D(A)] \) with \( A \subseteq low \) then getfield \((F, \mu[oref]) = rv[D(A')] \),
- and if fsec \((F) = A \) with \( A \subseteq low \) then getfield \((F, \mu'[oref]) = \) getfield \((F, \mu[oref]) \).

Definition 17 (Write-Difference). The write-difference \( \text{wdiff}(\mu_1, \mu_2) \) of two heaps \( \mu_1 \) and \( \mu_2 \) is defined by

\[
\{ oref \mapsto obj \mid oref \mapsto obj \in \mu_2 \text{ and } oref \in \text{dom}(\mu_1) \text{ and } \mu_1[oref] \neq obj \}
\]

If we start with low-equivalent heaps as initial states then all versions of the heaps that low-include the initial state are low-equivalent if the write-difference between the versions is low-equivalent.

Corollary 18 (Low equivalence from low inclusion). Let \( \mu_1, \mu_2 \) be two heaps and \( B \) a bijection of references. If \( \mu_1 \supseteq_{low} \mu'_1 \) and \( \mu_2 \supseteq_{low} \mu'_2 \) and \( \mu_1 = B,low \mu_2 \) and

1. for all \( oref \in \text{wdiff}(\mu_1, \mu'_1) \) we have \( \mu_2[\text{B}(oref)] = B,low \text{wdiff}(\mu_1, \mu'_1)[oref] \)
2. for all \( oref \in \text{wdiff}(\mu_2, \mu'_2) \) we have \( \mu_1[\text{B}(oref)] = B,low \text{wdiff}(\mu_2, \mu'_2)[oref] \)

then \( \mu_1 = B,low \mu_2 \).

Let \( L_1, L'_1, L_2, L'_2 \) be stack-frames. If \( L_1 \supseteq_{\Gamma,\theta,low} L'_1 \) and \( L_2 \supseteq_{\Gamma,\theta,low} L'_2 \) and \( L_1 \equiv_{\Gamma,\theta,B,low} L_2 \) and

1. for all \( x \in \text{dom}(L'_1 \setminus L_1) \), let \( L''_2 = \{ x \mapsto v \mid x \mapsto v \in L'_2 \} \) we have \( L''_2 \equiv_{\Gamma,\theta,B,low} L'_1 \setminus L_1 \)
2. for all \( x \in \text{dom}(L'_2 \setminus L_2) \), let \( L''_1 = \{ x \mapsto v \mid x \mapsto v \in L'_1 \} \) we have \( L''_1 \equiv_{\Gamma,\theta,B,low} L'_2 \setminus L_2 \)

then \( L_1 \equiv_{\Gamma,\theta,B,low} L_2 \).

Definition 19 (High security global context). Given a well-typed configuration \( \gamma, \ell, g \vdash E(s)/L/\mu : \Gamma_1 \Rightarrow \Gamma_2, C, (L, G)] \ell', g' \) and \( g \) and \( G \) form a high-security global context if either (i) \( g = S \) and for all \( PC \in G \) it holds that \( PC \not\subseteq low \) or (ii) \( g = D(PC) \) and \( PC \not\subseteq low \).

Lemma 20 (Global confinement). Given a well-typed configuration \( \gamma, \ell, g \vdash E(s)/L/\mu : \Gamma_1 \Rightarrow \Gamma_2, C, (L, G)] \ell', g' \) and a reduction step \( \ell \vdash E(s)/L/\mu \rightarrow E'(s')/L'/\mu' \) then \( \mu \supseteq_{low} \mu' \) if \( g \) and \( G \) form a high-security global context.

Definition 21 (High security local context). Given a well-typed configuration \( \gamma, \ell, g \vdash E(s)/L/\mu : \Gamma_1 \Rightarrow \Gamma_2, C, (L, G)] \ell', g' \) with solution \( \theta = C \cup \cap \cap G \) and \( g \) and \( G \) form a high-security local context if either (i) \( \ell = S \), \( PC = \theta(\beta) \) and \( PC \not\subseteq low \) or (ii) \( \ell = D(PC) \) and \( PC \not\subseteq low \).

Lemma 22 (Confinement). Given a well-typed configuration \( \gamma, \ell, g \vdash E(s)/L/\mu : \Gamma_1 \Rightarrow \Gamma_2, C, (L, G)] \ell', g' \) with solution \( \theta = C \cup \cap \cap G \) where \( \ell \) and \( \theta \) form a high-security local context and a reduction step \( \ell \vdash E(s)/L/\mu \rightarrow E'(s')/L'/\mu' \) then \( L \supseteq_{\Gamma_2, \theta,low} L' \) and \( \mu \supseteq_{low} \mu' \) and \( \text{dom}(L' \setminus L) \subseteq L \).
The confinement lemmas are proven by induction on the reduction. Most cases are straightforward, given the definition for solvability of constraints. Also lemma 22 relies on global confinement (lemma 20).

### D.3.2 Non-Interference for Branching Statements

Proving non-interference for cases of branching statements like \(\text{if } [s_1, \mathcal{L}, \mathcal{G}] (x \leftarrow y) \{s_2\}\) requires to distinguish whether execution enter a high-security context or not, that is whether the conditions depends on high-security values or not. In case it does not, the low-equivalence assumption for stack frames guarantees that executions chooses the same branch for both configurations. The result then follows from the induction assumption. In case the condition depends on high-security values, the executions may choose different branches. We can still follow that \(\text{if } [s_1, \mathcal{L}, \mathcal{G}] (x \leftarrow y) \{s_2\}\) follows that \(\{s_j\}\) is in a local (and global) high-security context and \(\mathcal{R}_i = \text{updaterefs}(s_1, \mathcal{L}_i, \mu_i).\) As the semantics is deterministic, we can follow that \(\{s_j\}/\mathcal{L}_i/\mu_i\) reduces to \(\text{done}/\mathcal{L}_i'/\mu_i'.\) By lemma 22 we have \(\mathcal{L}_i \geq_{\mathcal{R}_i, \text{low}} \mathcal{L}_i'\) and \(\mu_i \geq_{\text{low}} \mu_i'.\)

By corollary 18, it remains to show, that the upgrade operation of rule ESTEP-CX-DONE forces \(\mathcal{L}_i' \setminus \mathcal{L}_i\) and \(\text{wdiff} (\mu_i, \mu_i')\) to be low-equivalent.

It suffices to show that (i) low-security static fields in \(\text{wdiff} (\mu_i, \mu_i')\) and static low-security variables in \(\mathcal{L}_i' \setminus \mathcal{L}_i\) are low-equivalent to those fields in \(\mu_i\) regardless of \(\text{upgr}\) (the other direction is analogous) (ii) \(\text{upgr}\) targets the right dynamic variables and fields and (iii) \(\text{upgr}\) pushes the targeted values to a sufficiently high level.

1. Let \(\mu_i'' = \text{wdiff} (\mu_i, \mu_i')\). By result \(\mu_i \geq_{\text{low}} \mu_i'\) and the fact that \(\text{wdiff} (\mu_i, \mu_i') \subseteq \mu_i';\) for all \(F\) where \(\text{ssec}(F) \leq \text{low}\) and \(\text{oref} \in \text{dom}(\mu_i'')\) we have \(\text{getfield}(F, \mu_i'') = \text{getfield}(F, \mu_i'')[\text{oref}]\).

   By result \(\mu_i \equiv_{B, \text{low}} \mu_2\) we have that \(\text{getfield}(F, \mu_i'')[\text{oref}] = B \text{getfield}(F, \mu_2[B(\text{oref})]).\)

   By result \(\mu_i \geq_{\text{low}} \mu_2\) we have that \(\text{getfield}(F, \mu_i'')[\text{oref}] = B \text{getfield}(F, \mu_2[B(\text{oref})]).\)

   Let \(L_i'' = \mathcal{L}_i' \setminus \mathcal{L}_i\). By result \(\mathcal{L}_1 \geq_{\mathcal{R}_i, \text{low}} L_1'\): for all \(x\) where \(\theta(\Gamma(x)) \leq \text{low}\) and \(x \in \text{dom}(L_i' \setminus L_i)\) we have \(L_i''[x] = L_i[x].\)

   By result \(\mathcal{L}_1 \equiv_{\mathcal{R}_i, B, \text{low}} \mathcal{L}_2\) we have that \(\mathcal{L}_1'[x] = B \mathcal{L}_2[x].\)

   By result \(\mathcal{L}_1 \geq_{\mathcal{R}_i, \text{low}} L_1'\) we have that \(\mathcal{L}_1'[x] = B L_1[x].\)

2. By lemma 22 the effect \(\mathcal{L}\) contains \(\mathcal{L}_1' \setminus \mathcal{L}_i\), thus \(\text{upgr}\) targets all relevant variables.

   For fields, the function \(\text{upgr}\) targets the fields referenced by \(\mathcal{R}_i = \text{updaterefs}(s_1, \mathcal{L}_i, \mu_i).\)

   As we assume a sound updaterefs function according to Definition 11, that the result includes all possible write effects of the branching statement, it holds that \(\text{dom}(\text{wdiff} (\mu_i, \mu_i')) \subseteq \mathcal{R}\).

3. By typing, \(\ell_i\) are either both dynamic or both static. If \(\ell_i\) are dynamic then they also carry a high security level, as we assume a high-security condition variable, and the upgrades are sufficiently high. If \(\ell_i\) are static then we have to show that \(\Gamma \mathcal{G}\) is defined and is sufficiently high.

   ► **Lemma 23 (Dynamic modification in static, high contexts).** Let \(\gamma, S, S \vdash E(s)/\mathcal{L}/\mu : \Gamma_1 \Rightarrow \Gamma_2, C, E[\ell_i] \equiv \text{a well-typed configuration with solution } \theta \downarrow C \cup \Gamma_1 \mathcal{G}\) where \(\theta\) forms a high-security local context and let \(S; S \vdash E(s)/\mathcal{L}/\mu \rightarrow E'(s')/\mathcal{L}'/\mu'\) be a reduction step. If \(\mathcal{L} \geq_{\mathcal{R}_i, \text{low}} L'\) and \(\mathcal{L} \neq \mathcal{R}_i, \text{low}\) or if \(\mu \geq_{\text{low}} \mu'\) and \(\mu \neq_{\text{low}} \mu'\) then \(\mathcal{G} \not\leq 2\).

   The proof is an induction on the reduction step. Informally, this lemma holds because the only way to update dynamic fields or variables in high-security static contexts is by using a context cast, which is directly reflected in \(\mathcal{G}\). Then by typing in a high context we can follow that \(\Gamma \mathcal{G} \not\leq \text{low}.)\)
For the case of method calls, $\langle \text{var} = x.M(x_1, \ldots, x_n)\rangle/L_i/\mu_i$, we need to distinguish whether $L_1[x] = L_2[x]$. If so, the configurations reduce to

$$cx[L, G, R, \ell_i]\{\text{call}[M, \text{var}, x, x_1, \ldots, x_n, L'_i]\{(s_i)\}\}/L_i/\mu_i.$$

As the semantics is deterministic we know that there is an execution from $\langle s_i\rangle/L_i'/\mu_i$ to $\langle \text{done}\rangle/L''_i/\mu''_i$. By induction assumption, $L''_1$ and $L''_2$, as well as $\mu''_1$ and $\mu''_2$ are low-equivalent, from which we can follow the result. If $L_1[x] \neq L_2[x]$, then we can follow from the low-equivalence assumption that $x$ contains high-security information. Thus the configurations reduce to $cx[L, G, R, \ell_i]\{\text{call}[M, \text{var}, x, x_1, \ldots, x_n, L'_i]\{(s_i)\}\}/L_i/\mu_i$ where $\langle s_i\rangle/L'_i/\mu_i$ is in a high-security local and global context. Again we have that $\langle s_i\rangle/L'_i/\mu_i$ reduces to $\langle \text{done}\rangle/L''_i/\mu''_i$. By lemma 20, $\mu''_1$ and $\mu''_2$ are low-equivalent. When the method returns with estep-calling-done, we have $L''_i = L_i[\text{var} \mapsto v_i]$. As the method call executes in a locally high context, the update of return variable $\text{var}$ does not impede low-equivalence, and thus $L''_1$ and $L''_2$ are low-equivalent.