## **Static Program Analysis**

http://proglang.informatik.uni-freiburg.de/teaching/programanalysis/2014ss/

### Solution Sheet 4

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## **Exercise 1** (Complete lattices)

- 1. Let  $M = \{a, b, c\}$ . Define a relation R such that (M, R) is a complete lattice.
- 2. For a totally ordered set S,  $(\mathcal{P}(S), \subseteq)$  is a complete lattice. Define another relation R such that  $(\mathcal{P}(S), R)$  is a complete lattice.
- 3. Is  $(\mathbb{R}, \leq)$  a complete lattice? If not, how can you extend  $\mathbb{R}$  such that it becomes a complete lattice?
- 4. In Exercise 1 on Exercise Sheet 1 you have used the powerset lattice  $L_{\mathcal{P}} = (\mathcal{P}(\mathbf{Var}_{\star} \times \{-, 0, +\}), \subseteq)$  in the Detection of Signs Analysis. Alternatively, you could have used the lattice  $L = (\mathbf{Var}_{\star} \to \mathcal{P}(\{-, +, 0\}), \subseteq)$ .
  - Provide the definition of the appropriate relation  $\sqsubseteq$ .
  - What are the values of  $\top$  and  $\perp$  in L?
  - What is  $l_1 \sqcup l_2$ ?
  - Is there any difference in the precision between the two approaches?

# Solution

- 1. Define a < b and b < c. Then  $(M, \leq)$  is a complete lattice where  $\leq$  is the reflexive and transitive hull of <.
- 2. An easy solution is  $(\mathcal{P}(S), \supseteq)$ . Another relation can be constructed like this: Let < be a total order on S. You can order all elements of a subset of S with <. Using this, you can construct a monotonic sequence of the subset (**Careful!** This does not work in general! For this to work, the subset must have a least element). If we take the lexicographical order  $<_l$  on these sequences, we get again a complete lattice for  $(\mathcal{P}(S), <_l)$ .
- 3.  $(\mathbb{R}, \leq)$  is not a complete lattice. For example,  $\sqcup \mathbb{N}$  does not exist. The extension  $(\mathbb{R} \cup \{\pm \infty\}, \leq)$  with

$$\forall x \in \mathbb{R} : -\infty < x < +\infty \tag{1}$$

is a complete lattice.

- 4.  $\sqsubseteq$  is the pointwise ordering
  - $\top = x \mapsto \emptyset$  and  $\bot = x \mapsto \{-, +, 0\}$
  - $(l_1 \sqcup l_2)(x) = l_1(x) \cup l_2(x)$
  - The two lattices are order isomorphic (i.e., there is a bijective map  $f: L_{\mathcal{P}} \mapsto L$  defined as  $f(x) = \lambda y.\{w \mid (v, w) \in x\}$  such that  $x \subseteq y \Leftrightarrow f(x) \sqsubseteq f(y)$ ).

### Definitions

1. A complete partial order  $(M, \leq)$  has a *flat* ordering iff

$$\forall x, y \in M : x \leq y \Rightarrow x = \bot \lor x = y$$

- 2. Let  $(M, \leq)$  and  $(N, \leq)$  be pointed complete partial orders, and  $f: M \to N$ . f is
  - a) monotone iff  $x \leq y \Rightarrow f(x) \leq f(y)$ ;
  - b) strict iff  $f(\perp) = \perp$ .
- 3. Let  $(M, \leq)$  and  $(N, \leq)$  be complete lattices, and  $f : M \to N$ . f is (Scott) continuous iff f preserves least upper bounds of chains, i.e. for all chains it holds that

$$f\left(\bigsqcup_{i\in I} x^{(i)}\right) = \bigsqcup_{i\in I} f(x^{(i)})$$

### **Exercise 2**

Given functions  $f: M \to N$  and  $g: N \to P$ , which of the following statements are true? Give a proof or a counter example.

For pointed complete partial orders  $(M, \leq)$  and  $(N, \leq)$ :

- 1. If  $(N, \leq)$  has a flat ordering and f is monotone, then f is strict or constant.
- 2. If  $(M, \leq)$  has a flat ordering and f is strict, then f is monotone.

For complete lattices  $(M, \leq), (N, \leq)$ , and  $(P, \leq)$ :

- 3. If  $(M, \leq)$  satisfies the Ascending Chain Condition and f is monotone, then f is continuous.
- 4. If f is monotone, then f is strict.
- 5. If f and g are monotone (continuous, strict), then  $g \circ f$  is monotone (continuous, strict).
- 6. If f is monotone and  $\langle x^{(i)} \rangle_{i \in I}$  is a chain in M, then  $\bigsqcup_{i \in I} f(x^{(i)}) \leq f(\bigsqcup_{i \in I} x^{(i)})$ .
- 7. If f is continuous, then f is also monotone.

## Solution

- 1.  $\forall x \in M : f$  monotone and  $\perp \leq x \Rightarrow f(\perp) \leq f(x)$ . Since N has a flat ordering, it follows that  $f(\perp) = \perp \lor f(\perp) = f(x)$ . This means that f is either strict  $(f(\perp) = \perp)$ , or f is constant, because for every  $x \in M : f(x) = f(\perp)$ .
- 2. Let  $x, y \in M$ . Since M has a flat ordering, it holds that

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$$x \le y \Rightarrow x = \perp \lor x = y \tag{2}$$

As f is strict, it follows that

$$f(x) = f(\bot) = \bot \le f(y) \quad \lor \quad f(x) = f(y) \tag{3}$$

Therefore  $f(x) \leq f(y)$ , and f is monotone.

3. Let  $\langle x^{(i)} \rangle_{i \in I}$  be an (arbitrary) chain in M and  $x^{(i_0)} = \bigsqcup_{i \in I} x^{(i)}$ . Because f is monotone

$$\forall i \in I : f(x^{(i)}) \le f(x^{(i_o)})$$
$$\implies f(x^{(0)}) \le \dots \le f(x^{(i_0)}) = \bigsqcup_{i \in \mathbb{I}} f(x^{(i)})$$

It follows that

$$f(\bigsqcup_{i \in I} x^{(i)}) = f(x^{(i_0)}) = \bigsqcup_{i \in I} f(x^{(i)}).$$

- 4. Define partial orders  $M = N = (\{\perp, b\}, \leq)$  with  $\perp \leq b$ , and  $f(\perp) = f(b) = b$ . Then f is monotone, but not strict.
- 5. Let  $x, y \in M, x \leq y \Rightarrow f(x) \leq f(y) \Rightarrow g(f(x)) \leq g(f(y))$ , as f and g are monotone. Hence,  $g \circ f$  is monotone.
  - Let  $\langle x^{(i)} \rangle_{i \in I}$  be a chain in M.

$$g\left(f\left(\bigsqcup_{i\in I} x^{(i)}\right)\right) = g\left(\bigsqcup_{i\in I} f\left(x^{(i)}\right)\right) = \bigsqcup_{i\in I} g\left(f\left(x^{(i)}\right)\right)$$

Hence,  $g \circ f$  is continuous.

- Let  $\perp_M \in M$ . Then,  $f(\perp_M) = \perp_N$  and  $g(f(\perp_M)) = g(\perp_N) = \perp_P$ . Hence  $g \circ f$  is strict.
- 6. It holds that  $x^{(j)} \leq \bigsqcup_{i \in I} x^{(i)}$  for all  $j \in I$ , and because f is monotone, it follows that

$$f(x^{(j)}) \le f(\bigsqcup_{i \in I} x^{(i)}) \quad \forall j \in I.$$
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Hence,  $f(\bigsqcup_{i \in I} x^{(i)})$  is an upper bound for the chain  $\langle f(x^{(i))} \rangle_{i \in I}$ , and by definition

$$\bigsqcup_{i \in I} f(x^{(i)}) \le f(\bigsqcup_{i \in I} x^{(i)}).$$

7. Let  $x, y \in M$  with  $x \leq y$ . Then,  $x \sqcup y = y$ . Since f is continuous, it follows that

$$f(y) = f(x \sqcup y) = f(x) \sqcup f(y),$$

and hence  $f(x) \leq f(y)$ .